



Communication Basics

Principles and Dogmas



*“Everything
should be made
as simple as possible,
...but not simpler.”*



Albert Einstein



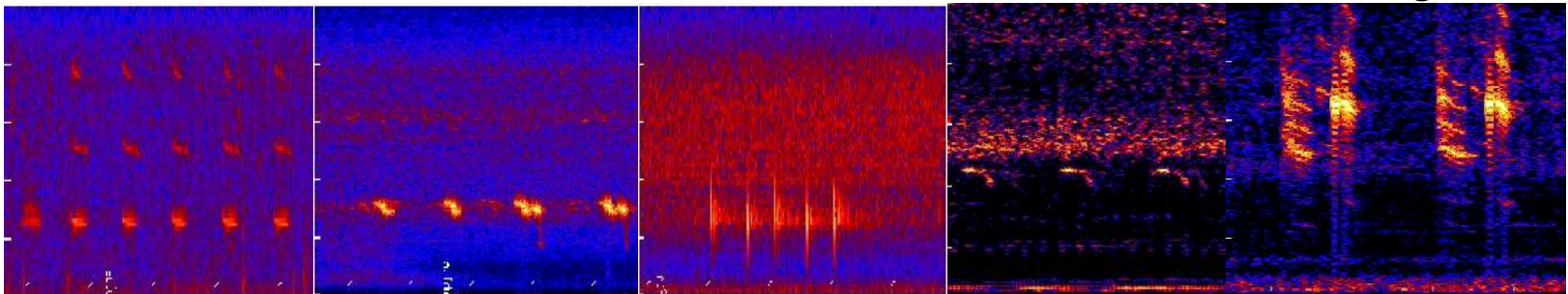
- ***What is information?***
 - ◆ Carried by **symbols**
 - ◆ **Recognized** by receiver (hopefully)
 - ◆ ***Interpretation*** is the key...





- **Symbols (may) represent information**
 - ◆ **Voice patterns (Speech)**
 - ◆ **Sign language, Pictograms** 
 - ◆ **Scripture**
 - ◆ **Voltage levels**
 - ◆ **Light pulses**

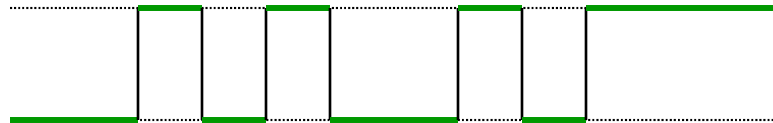
Blue Whale Sonagrams



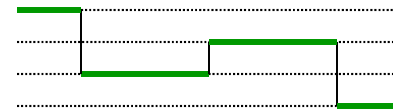
Symbols on Wire



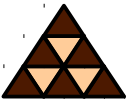
- **Discrete voltage levels = "Digital"**
 - ◆ Resistant against noise
- **How many levels?**
 - ◆ **Binary** (easiest)
 - ◆ **M-ary**: *More information per time unit!*



Binary

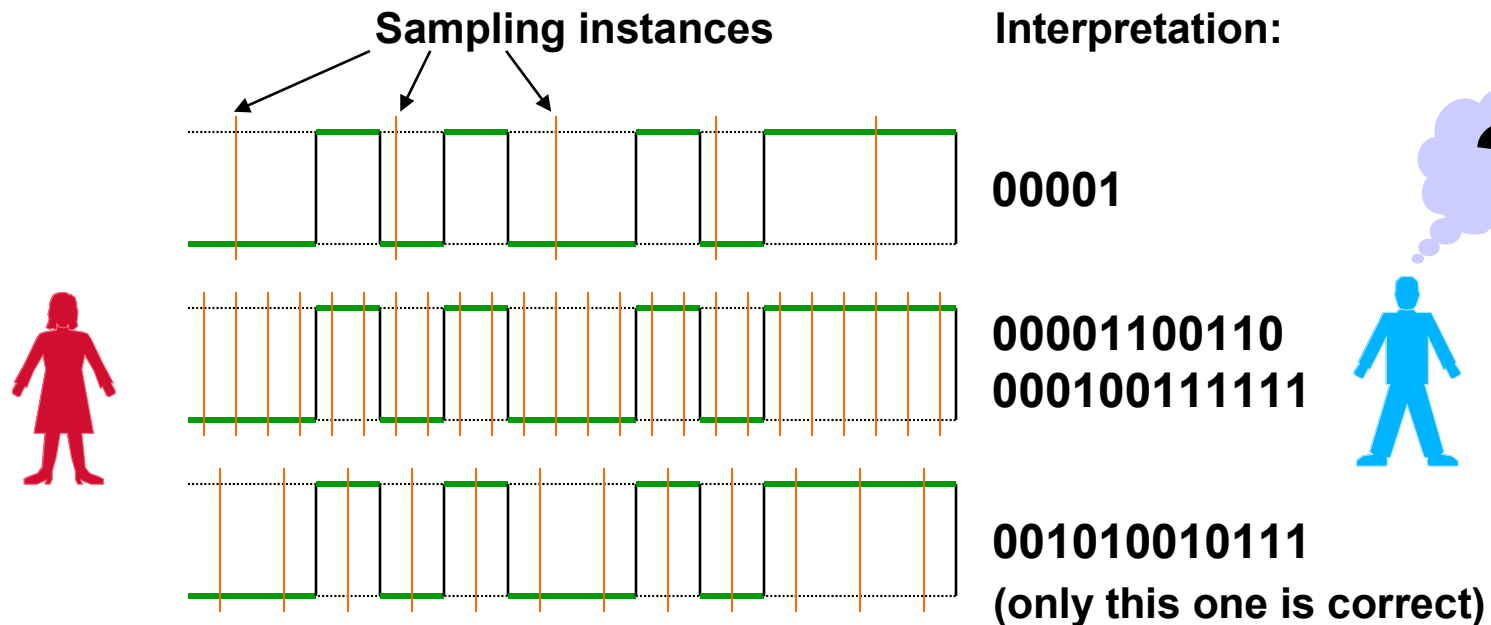


M-ary
(here 4 levels, e. g. ISDN)



Synchronization

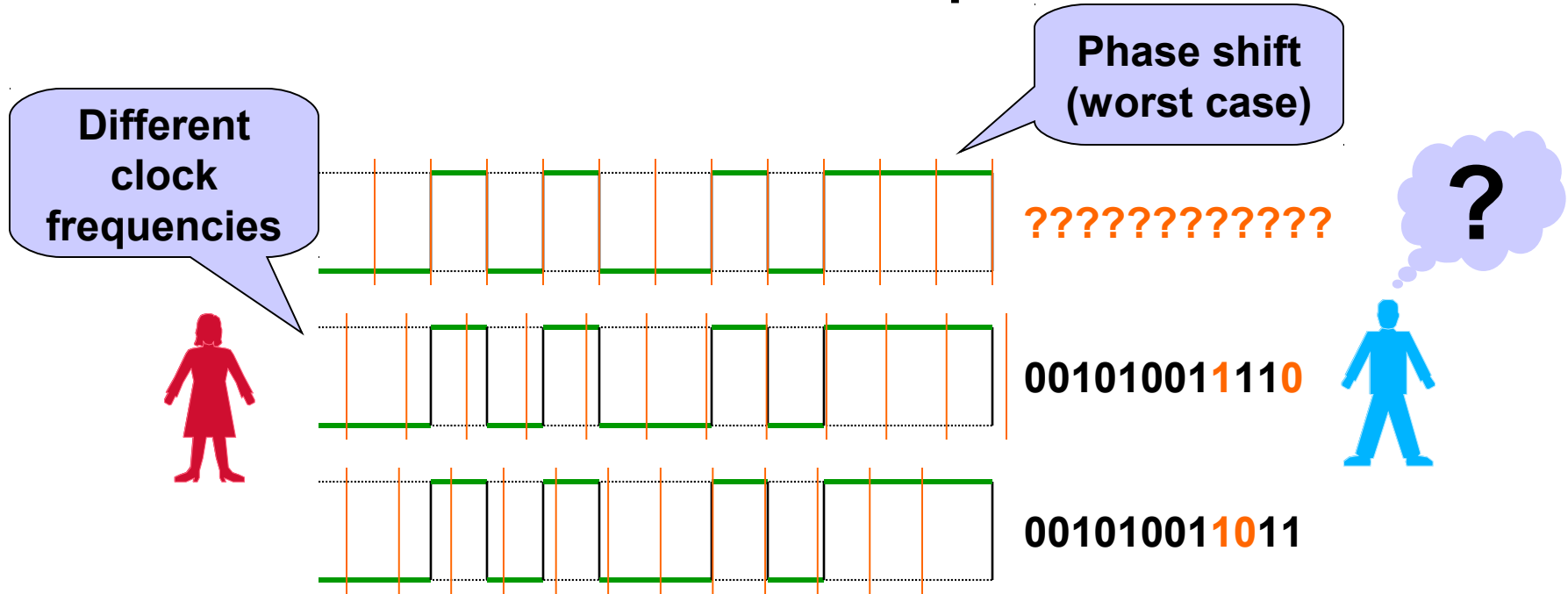
- Sender sends symbol after symbol...
- **When** should receiver pick the signal samples?
 - ◆ => Receiver must **sync** with sender's clock !





Synchronization

- In reality, two independent clocks are **NEVER** precisely synchronous
 - ◆ We always have a frequency shift
 - ◆ But we must also care for phase shifts



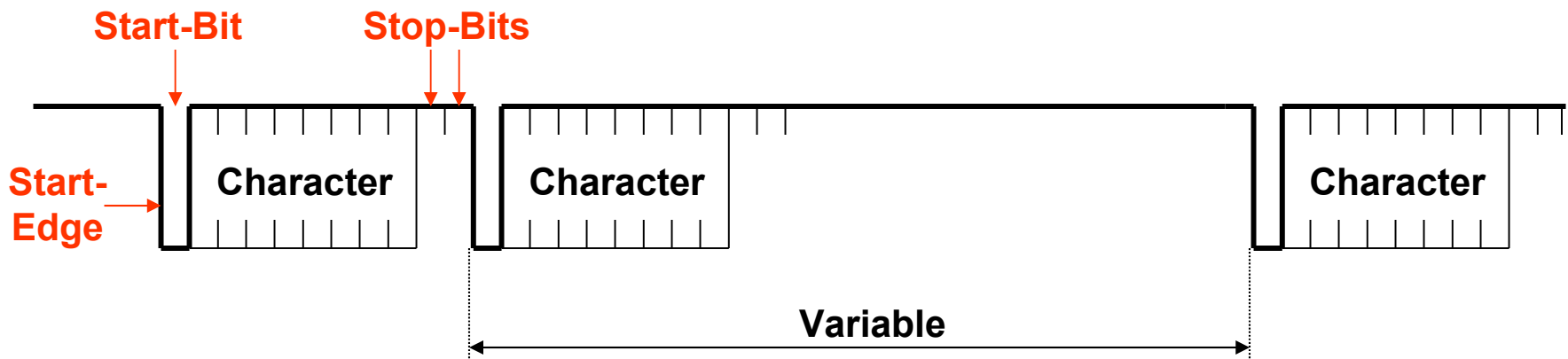


- **Parallel transmission**
 - ◆ Multiple data wires (fast)
 - ◆ **Explicit clocking wire**
 - ◆ Simple Synchronization but not cost-effective
 - ◆ Only useful for small distances
- **Serial transmission**
 - ◆ Only one wire (-pair)
 - ◆ **No clocking wire**
 - ◆ **Most important** for data communication

Asynchronous Transmission



- **Independent clocks**
 - ◆ Oversampling: Much faster than bitrate
- **Only phase is synchronized**
 - ◆ Using Start-bits and Stop-bits
 - ◆ **Variable intervals between characters**
 - ◆ Synchrony only **during** transmission
- **Inefficient**

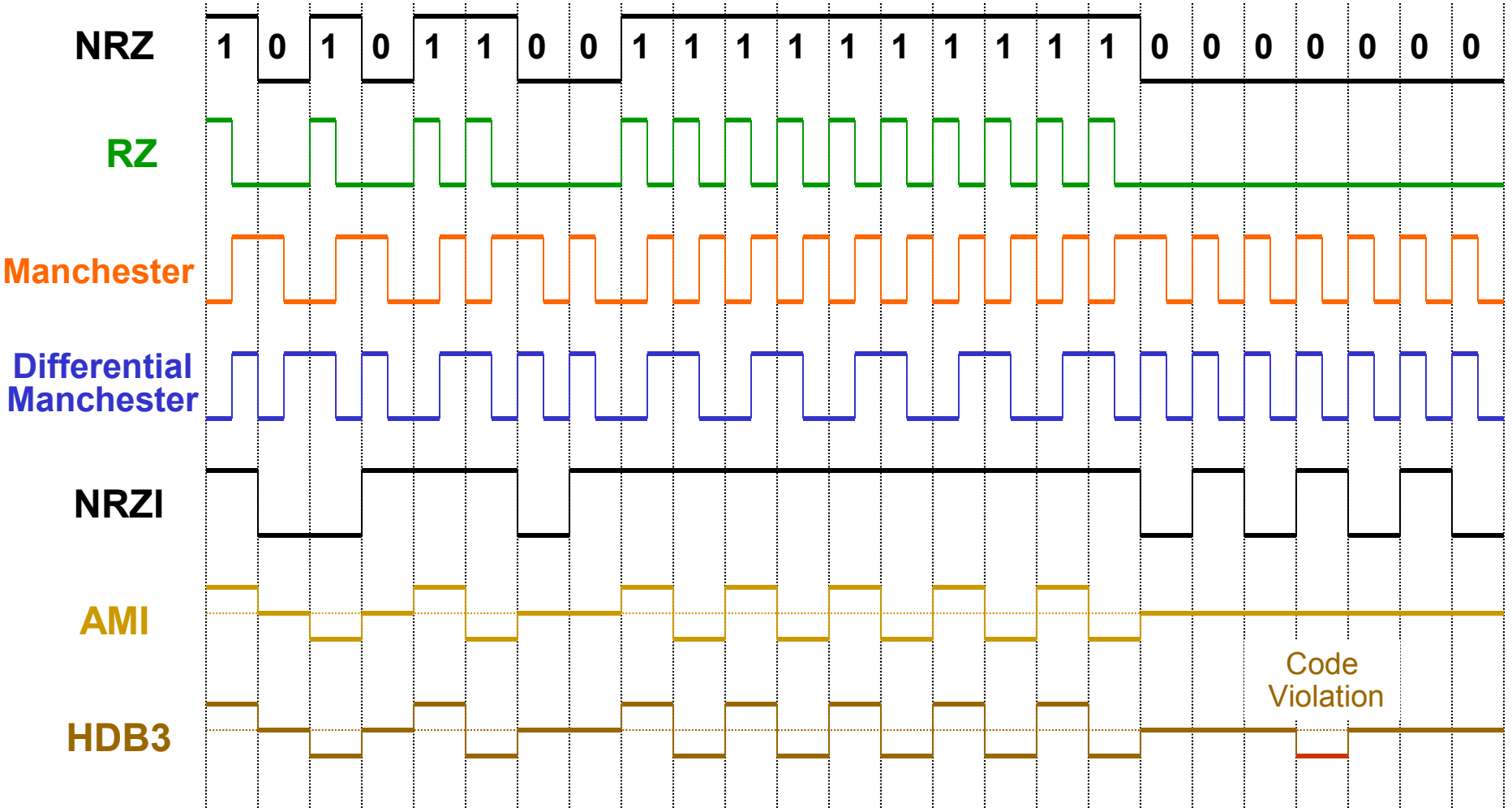


Synchronous Transmission

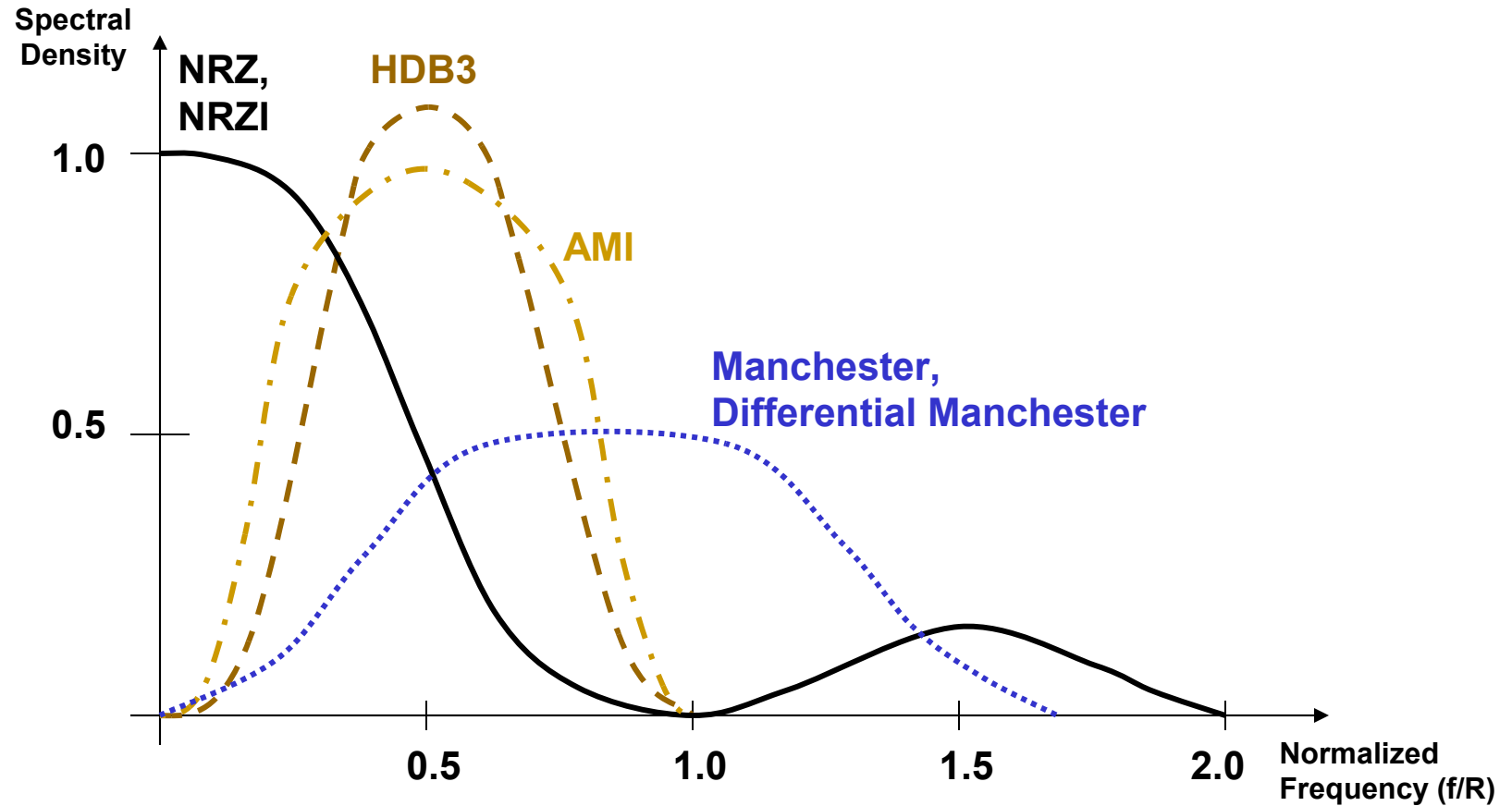


- **Synchronized clocks**
 - ◆ Most important today!
 - ◆ Phase and Frequency synchronized
- **Receiver uses a **Phased Locked Loop (PLL)** control circuit**
 - ◆ Requires frequent signal changes
 - ◆ => **Coding** or **Scrambling** of data necessary to avoid long sequences without signal changes
- **Continuous data stream possible**
 - ◆ Large frames possible (theoretically endless)
 - ◆ Receiver remains synchronized
 - ◆ Typically each frame starts with a short "**training sequence**" aka "**preamble**" (e. g. 64 bits)

Line Coding

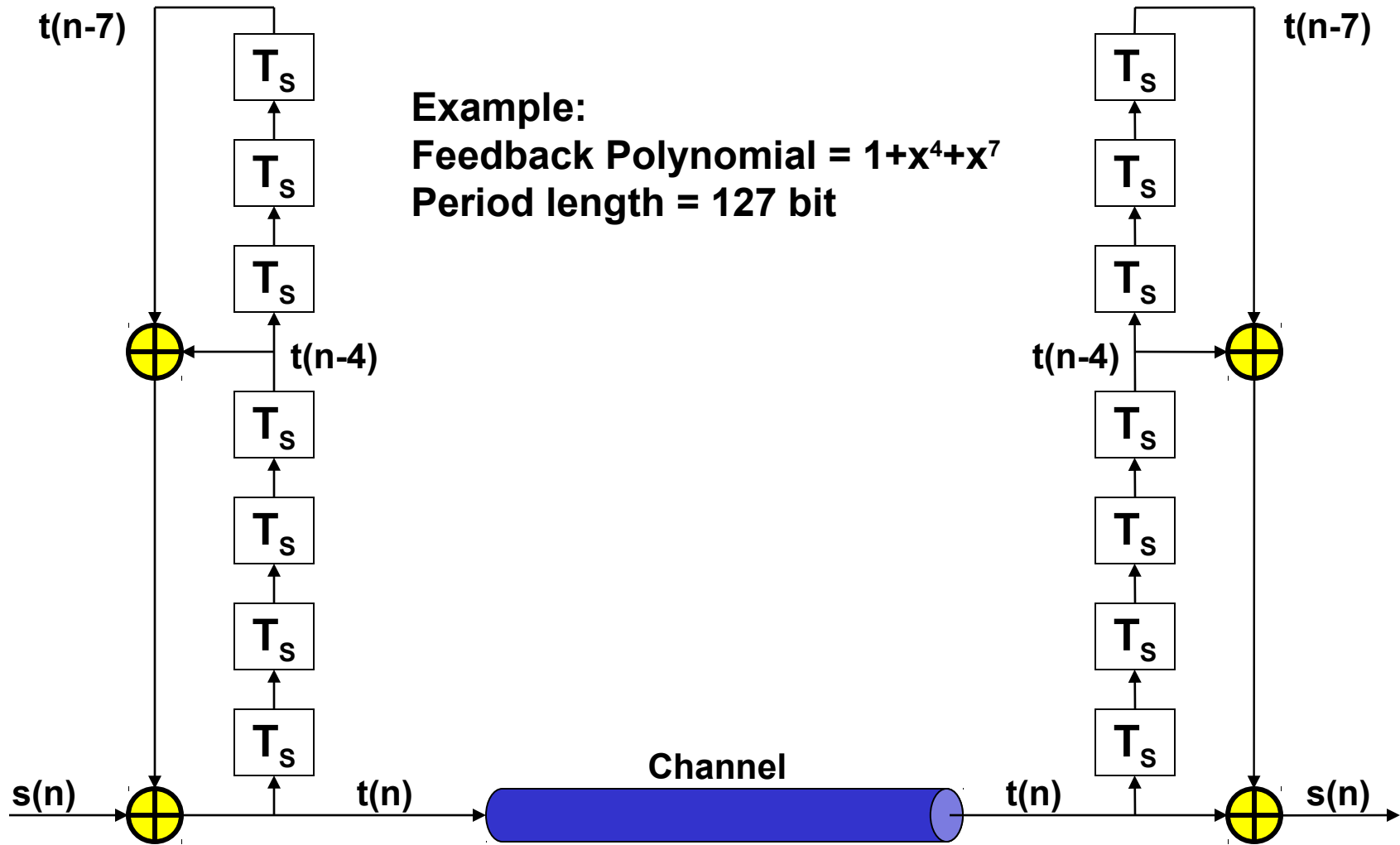


Power Spectrum Density

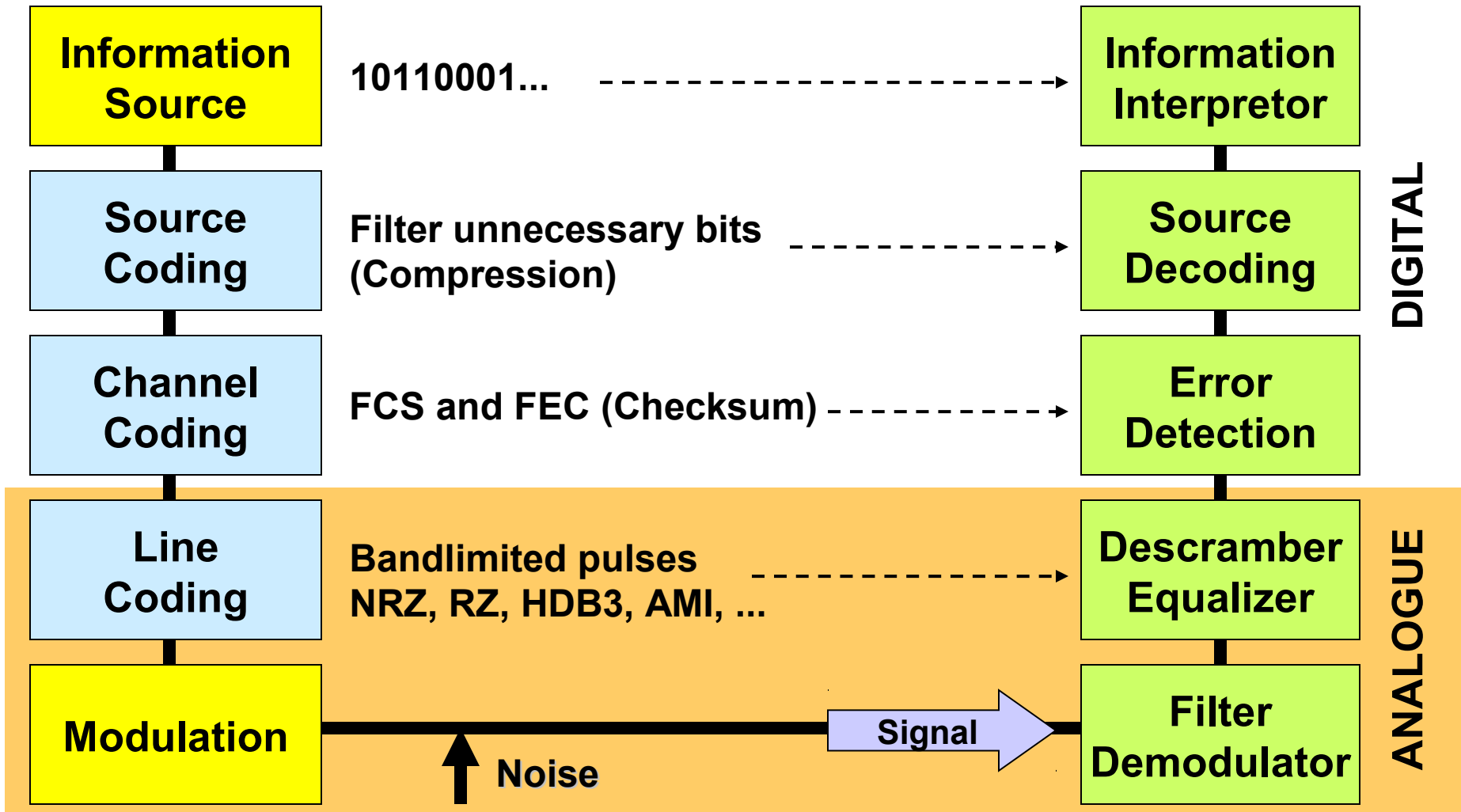




Scrambling Example



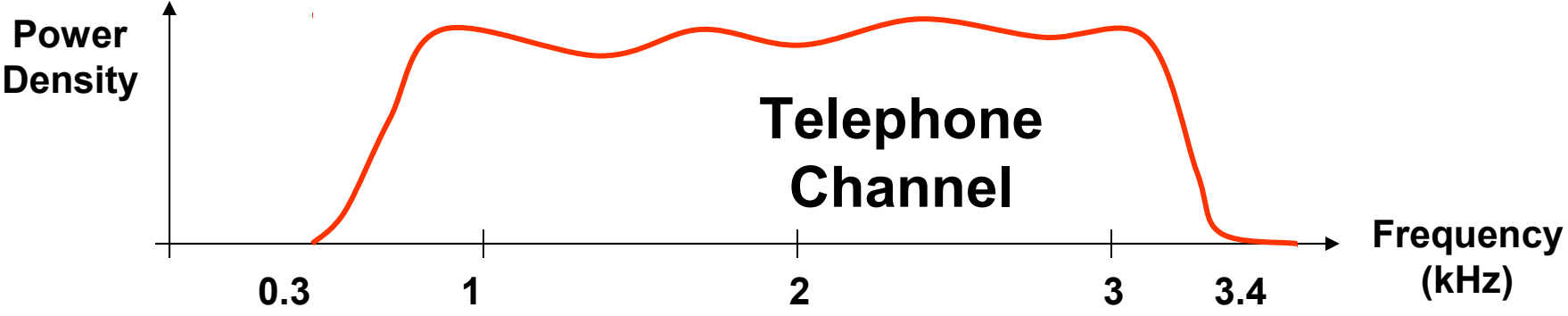
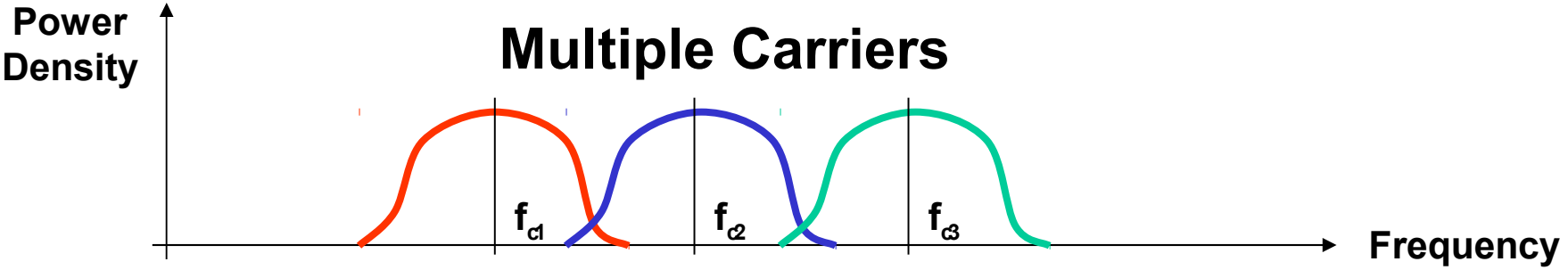
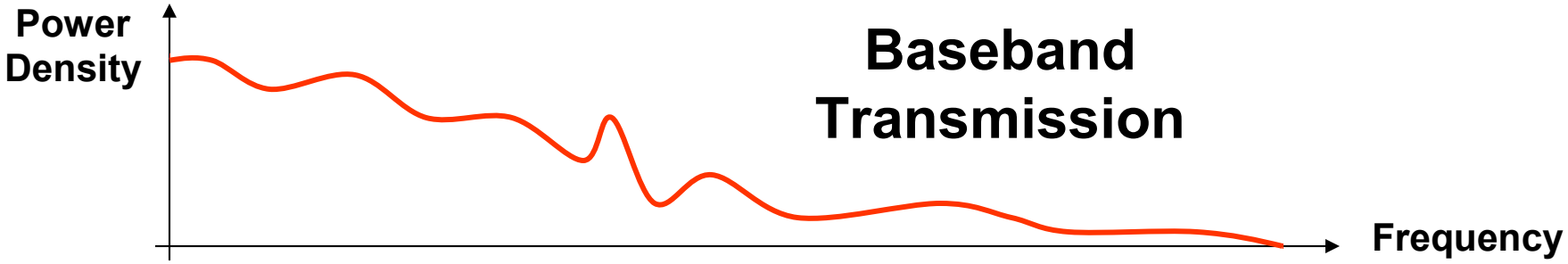
Transmission System Overview





- Usually **Low-Pass** behavior
 - ◆ Higher frequencies are more attenuated than lower
- **Baseband transmission**
 - ◆ Signal without a dedicated carrier
 - ◆ Example: LAN technologies (Ethernet etc)
- **Carrierband transmission**
 - ◆ The baseband signal modulates a carrier to match special channel properties
 - ◆ Medium can be shared for many users (different carriers) – e. g. WLAN

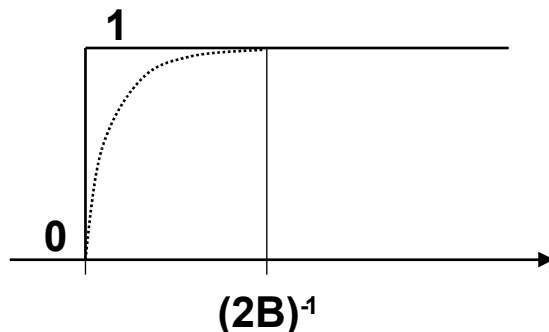
Channel utilization examples



Maximal Signal-Rate



- Maximal data rate proportional to channel-bandwidth B
 - ◆ Raise time of Heavyside $T=1/(2B)$
 - ◆ **So the maximum rate is $R=2B$** , also called the Nyquist Rate
 - ◆ Note: We assume an ideal channel here – without noise!
- Bandwidth decreases with cable length
 - ◆ As a dirty rule of thumb: $BW \times \text{Length} \cong \text{const}$
 - ◆ But note that the reality is much more complex
 - ◆ Solitons are remarkable exceptions...



Maximum signal rate: At least the amplitude must be reached

The Maximum Information Rate



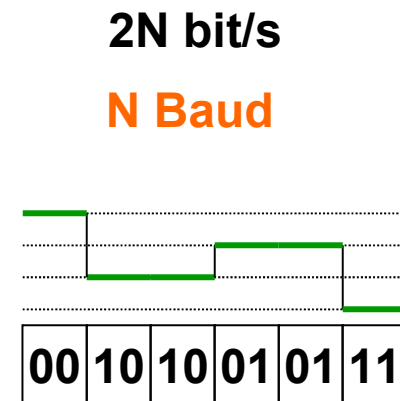
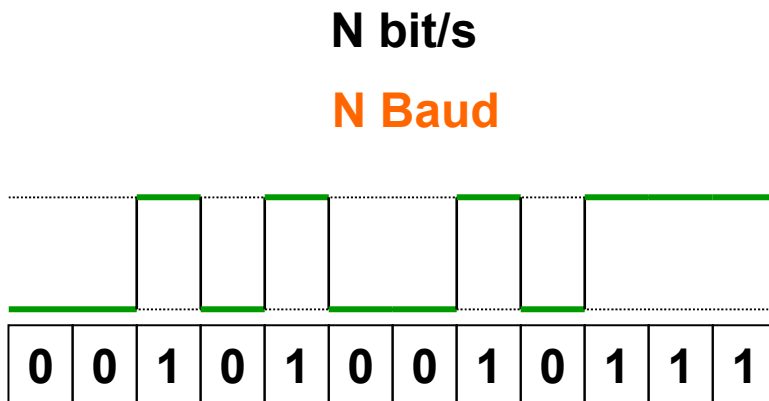
- What about a real channel? What's the maximum achievable information rate in presence of noise?
- Answer by C. E. Shannon in 1948
 - ◆ Even when noise is present, information can be transmitted **without errors** when the information rate is below the **channel capacity**
- Channel capacity depends only on channel bandwidth AND SNR
 - ◆ Example: AWGN-channel

$$C = B \log (1 + S/N)$$



Bitrate vs Baud

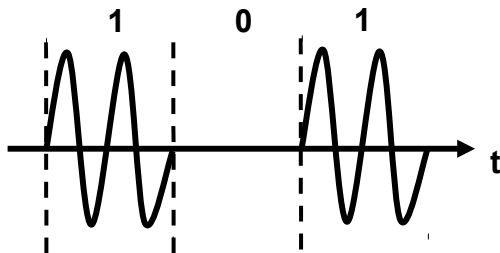
- **Information Rate: Bit/s**
- **Symbol Rate: Baud**
- **The goal is to send many (=as much as possible) bits per symbol**
 - ◆ => QAM (see next slides)



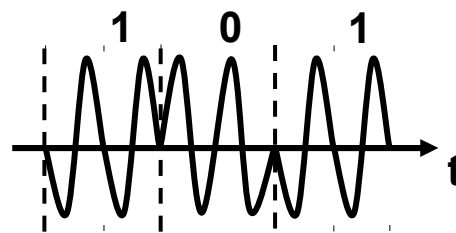
Analogue Modulation Overview



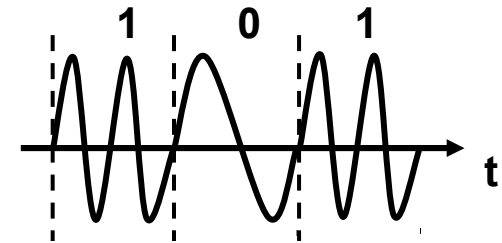
- EVERY transmission is analogue – but there are different methods to put a base-band signal onto a high-frequency carrier
- The most simple (and oldest) is ASK
 - ◆ The illustrated ASK method is simple "On-Off-Keying" (OOK)
- FSK and PSK are called "angle-modulation" methods (nonlinear => spectrum shape is changed!)
- For digital transmission, almost always QAM is used
 - ◆ The BER of BPSK is 3 dB better than for simple OOK



Amplitude Shift Keying (ASK)



Phase Shift Keying (PSK)



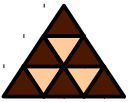
Frequency Shift Keying (FSK)

$$g(t) = A_t \cdot \cos(2\pi f_t t + \varphi_t)$$

These three parameters can be modulated

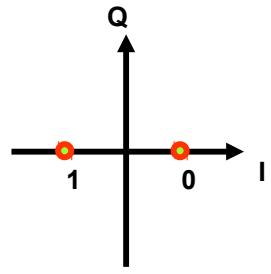


- **"Quadrature Amplitude Modulation"**
- **Idea:**
 1. **Separate bits in groups of words (e. g. of 6 bits in case of QAM-64)**
 2. **Assign a dedicated pair of Amplitude and phase to each word (A, φ)**
 3. **Create the complex amplitude $Ae^{j\varphi}$**
 4. **Create the signal $\text{Re}\{Ae^{j\varphi} e^{j\omega t}\}$
 $= A (\cos \varphi \cos \omega t - \sin \varphi \sin \omega t)$ which represents one (of the 64) QAM symbols**
 5. **Receiver can reconstruct (A, φ)**

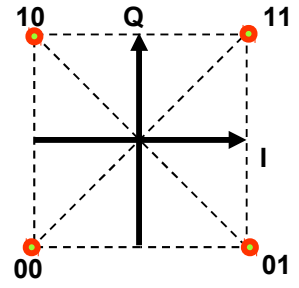


QAM: Symbol Diagrams

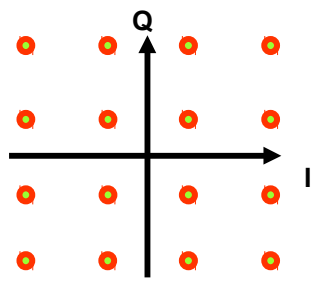
Standard PSK



Quadrature PSK (QPSK)

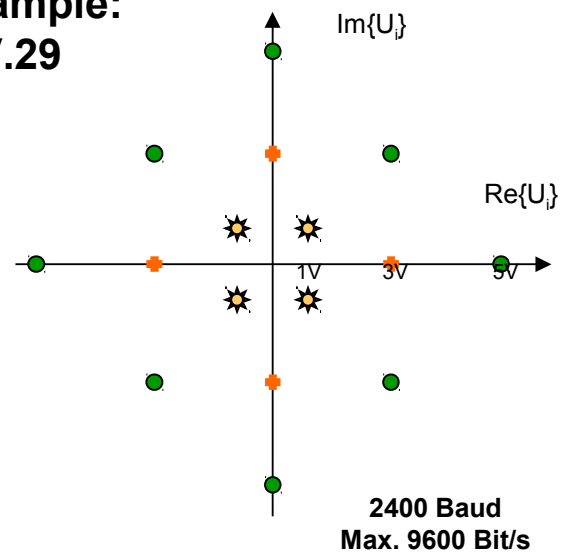


16-QAM



Other example:
Modem V.29

- For noisy and distorted channels
4800 bit/s
- For better channels
7200 bit/s
- For even better channels
9600 bit/s



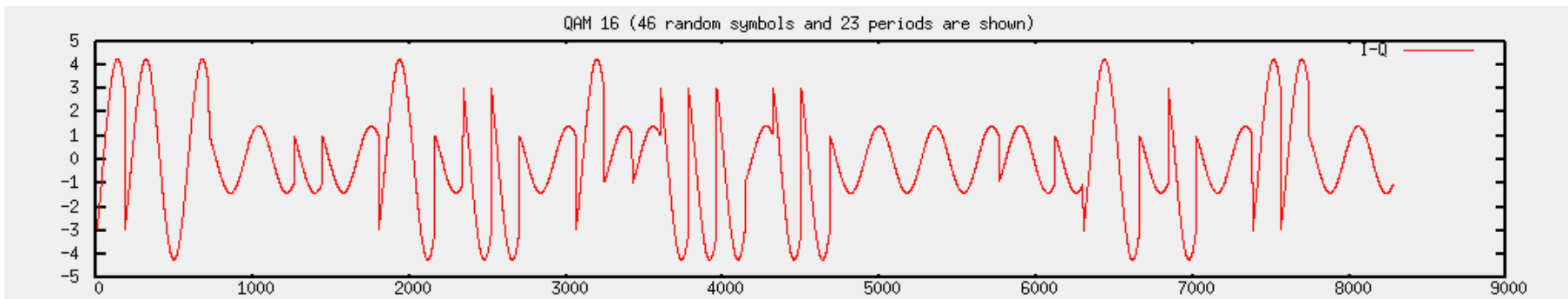
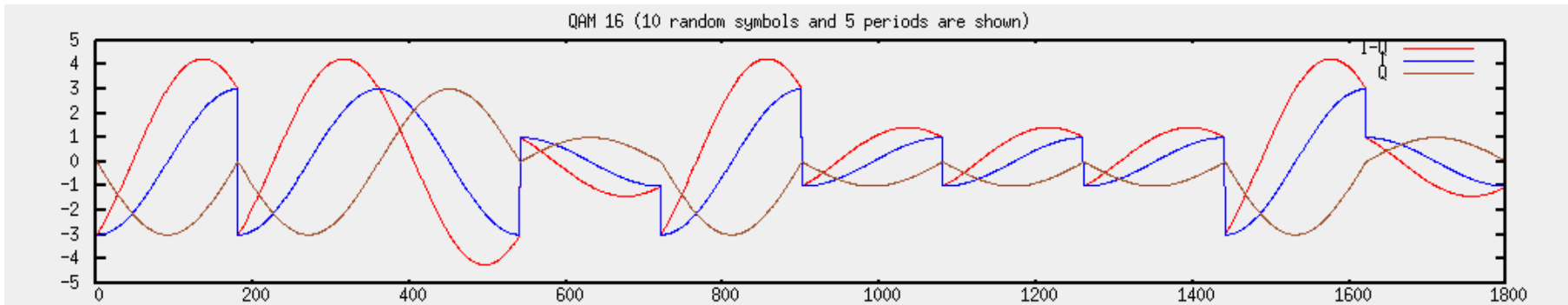
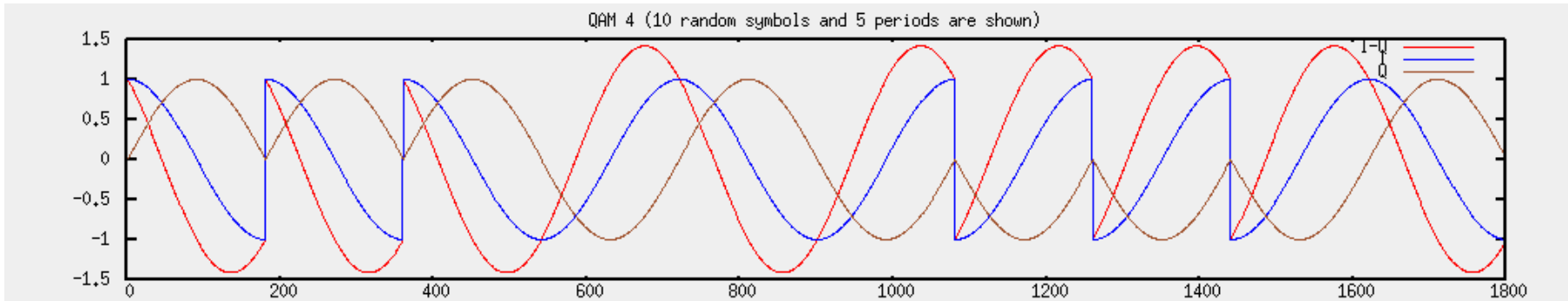
2400 Baud
Max. 9600 Bit/s

Example QAM Applications

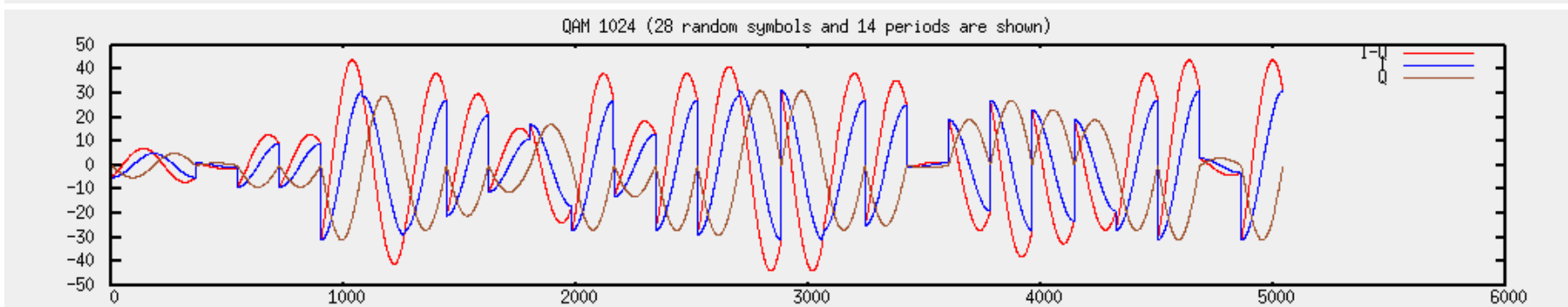
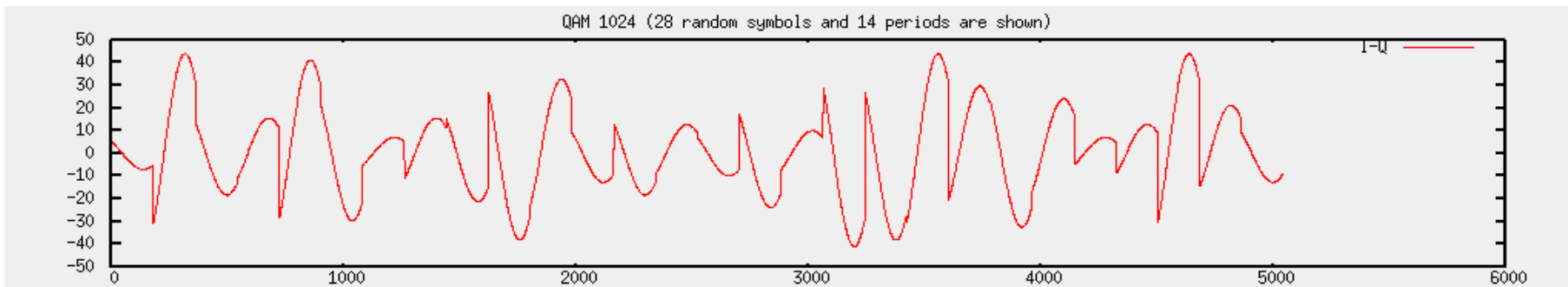
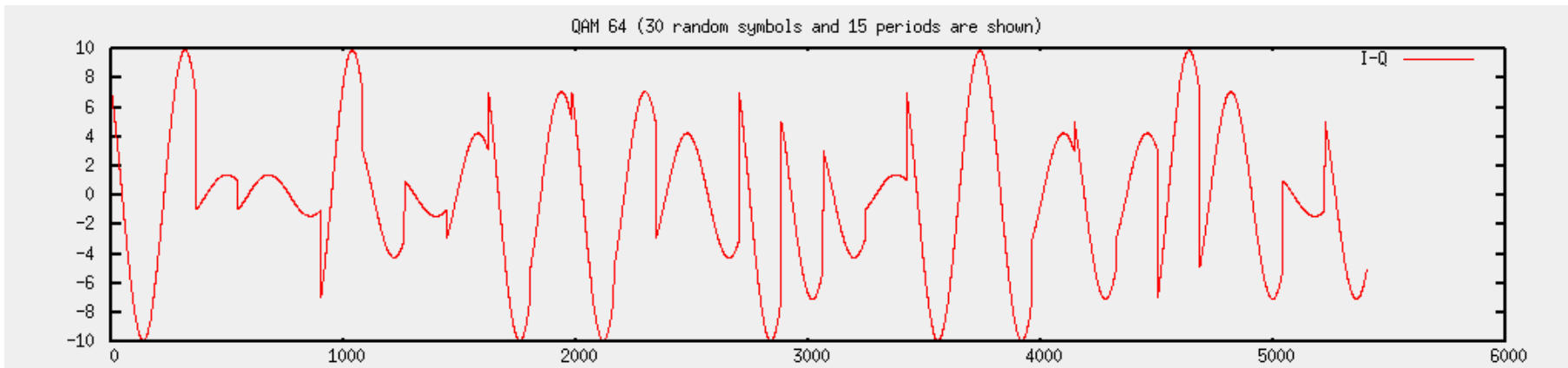



- **One symbol represents a bit pattern**
 - ◆ Given N symbols, each represent $\log_2(N)$ bits
- **Modems, 1000BaseT (Gigabit Ethernet), WiMAX, GSM, ...**
- **WLAN 802.11a and 802.11g:**
 - ◆ BPSK @ 6 and 9 Mbps
 - ◆ QPSK @ 12 and 18 Mbps
 - ◆ 16-QAM @ 24 and 36 Mbps
 - ◆ 64-QAM @ 48 and 54 Mbps

QAM Example Symbols (1)




QAM Example Symbols (2)





*“The biggest problem
with communication
is the illusion
that it has occurred.”*



Married?