L02 - Protocol Principles

Protocol Principles

Layering, CL versus CO Service, ARQ Techniques, Sequence Numbers, Windowing, Flow Control

Agenda

• Introduction

- 3 Layer Model and Service Types
- ARQ Techniques
 - Introduction
 - Idle RQ
 - Continuous RQ
 - Selective Acknowledgement
 - GoBackN
 - Positive Acknowledgement
 - Selective Reject
 - Sequence Numbers and Windowing
 - Delay Bandwidth Product
 - Flow Control
 - HDLC Overview

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Line Protocols

- line protocols regulate and control communication between two devices over pointto-point line
- basic elements
 - frame synchronization
- frame protection
- error detection
- usually implemented in hardware
- optional elements
 - connection and line management
 - error recovery
 - flow control
 - usually implemented in software

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Two Important Principles for Data Communication

• Layering

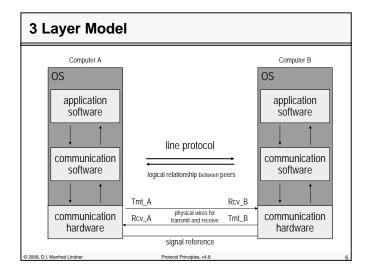
- Structuring the complex task of data communication into smaller pieces by usage of "layers"
- A layer is built by the resources of the corresponding protocol peer entities and by the protocol procedures performed between them
 - protocol standards define fields of the control field of a frame (bits seen on the wire) and the communication behavior of the peers receiving and sending frames
- A layer is using the services of the lower layers to provide a enhanced service to the upper layer
 - The application layer can access the lower layer (the protocol stack) via API (application programming interface)
 - The communication layer can access the lower layer via network-card driver
- Connectionless versus connection-oriented service

Protocol Princ

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Software Aspects

• application software uses

- the communication software (normally part of an operating system, OS) in order to exchange data
- mailbox and queueing techniques
 - allow cooperation of application and communication software within a computer system

• the communication software

- uses a line protocol for peer to peer communication
 virtual communication relationship on a given layer
- hides the details of line protocols and other related tasks from the application software

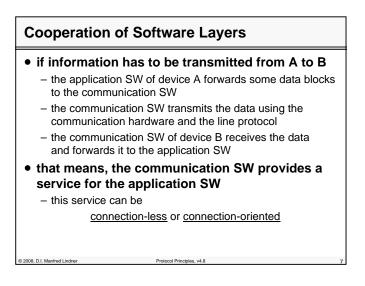
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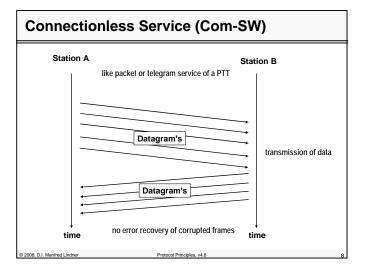
procedural approach

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Line Protocol Services - CL

• Connection-Less (CL) - type of service

- communication SW uses only basic elements (frame synchronization, frame protection, error detection) to transmit data blocks
- transmission errors causes receiver to discard data blocks
- best effort service

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- no special frame types are necessary to implement this protocol strategy
- low implementation requirements for communication SW
- but error recovery (correction of errors) must be done by application

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Phases with Connection-Oriented Service Station A like telephone call of Telecom Station B Connection Request connection Connection Acknowledgemen establishment DATA transmission of data Disconnection Request clearing Disconnected Acknowledgment of connection error recovery of corrupted frames is possible time time by usage of ARQ techniques

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Line Protocol Services - CO

• Connection-Oriented (CO) - type of service

- a communication channel must be established before data blocks can be transmitted
 - logical connection

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- transmission errors will be detected and corrected by the communication SW using feedback error control
- retransmission of corrupted data blocks
- Automatic Repeat reQuest (ARQ) method
- reliable transmission service for application SW
 - error recovery done by communication SW
- special frame types are necessary (connect, disconnect)
- more sophisticated communication SW is necessary in order to implement ARQ strategy

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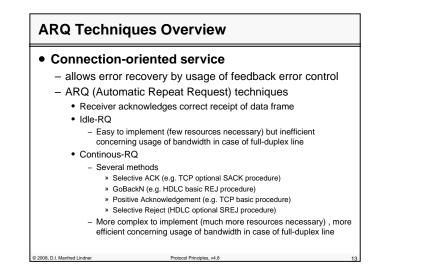
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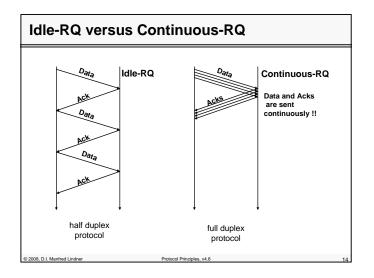
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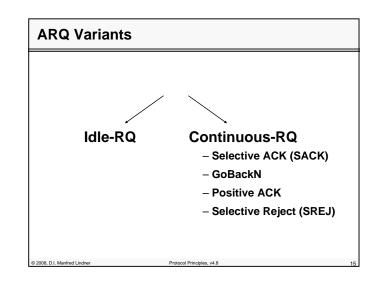
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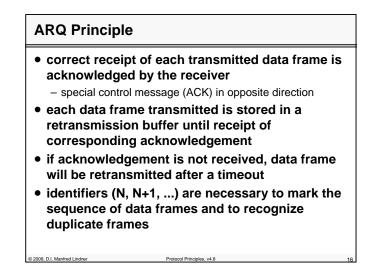
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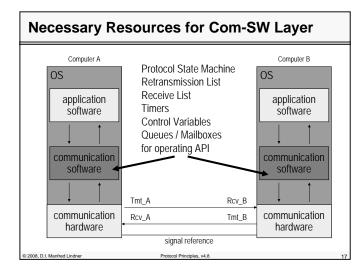


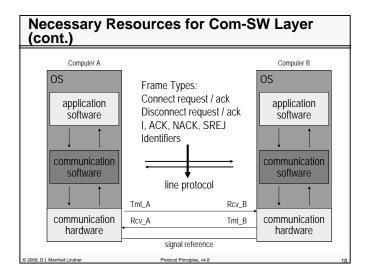
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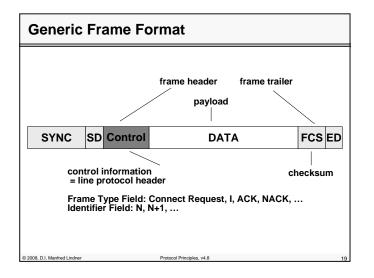
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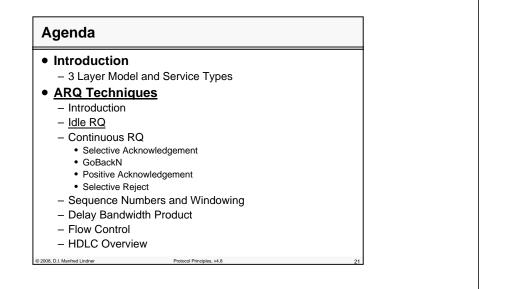


Idle-RQ and Co	ntinuous-RQ Facts
	and only little resources necessary d e.g. TFTP (Trivial File Transfer Protocol)
Continuous-RQ	
• Retransmission • Retransmission • Receive Buffers	Timers Buffers
 might result in h 	0
 – full duplex proto 	COI
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Idle-RQ

• simple ARQ implementation

- stop & wait protocol
- device waits for the acknowledgement (ACK) before sending the next data frame
- basic method can be improved by NACK
- two identifiers are necessary (0, 1)

- distinction between new data frame or duplicate frame

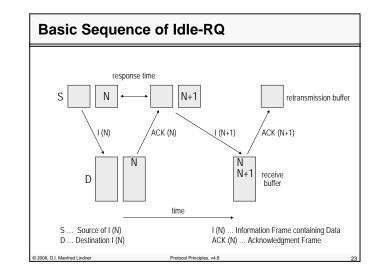
- numbering of data frames
 - modulo 2

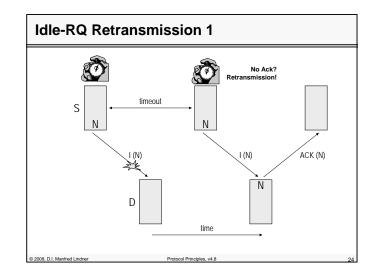
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- half duplex protocol
- full duplex lines can not be efficiently used

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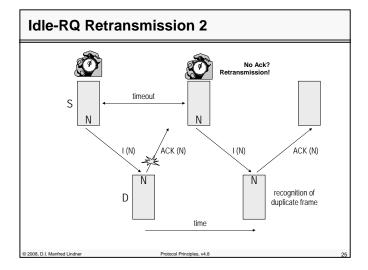
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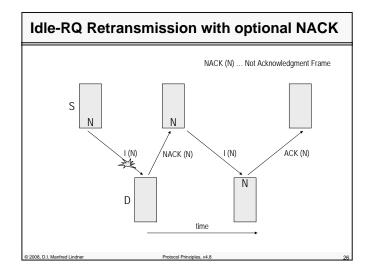
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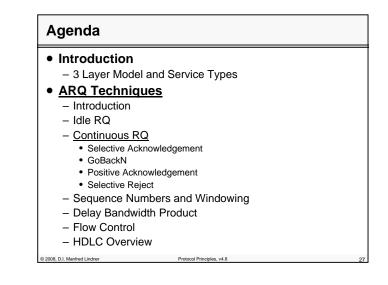
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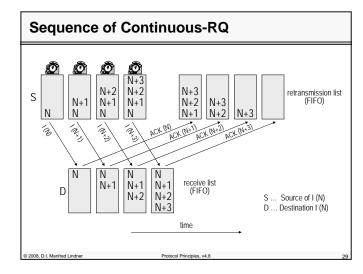
Continuous-RQ	
 in order to use full duplex lines more efficiently device does not wait for acknowledgements for frames already sent 	-
 <u>Continuous Repeat reQuest</u> (C-RQ) protocols 	
 full duplex protocol 	
 until receipt of acknowledgments, data frames are buffered in a retransmission list 	
 each incoming acknowledgment removes the corresponding data frame from that list 	
 receiver stores data frames in receive list 	
 to detect duplicates 	
 to reorder the sequence 	
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Error Control Variants with Continuous-RQ

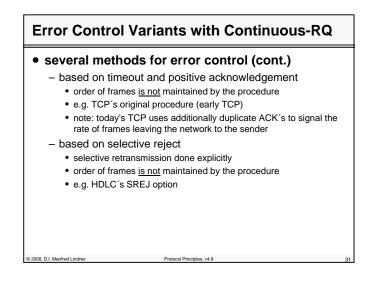
several methods for error control

- based on selective acknowledgement
 - · selective retransmission done implicitly
 - order of frames is not maintained by the procedure
 - e.g. TCP (Transmission Control Protocol) SACK option
- based on multiple and negative acknowledgement
 - also known as GoBackN
 - order of frames is maintained by the procedure
 - e.g. HDLC (High Level Data Link Control) check pointing technique and REJ option
 - e.g. DDCMP (Digital Data Link Control Management Protocol)

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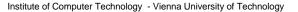
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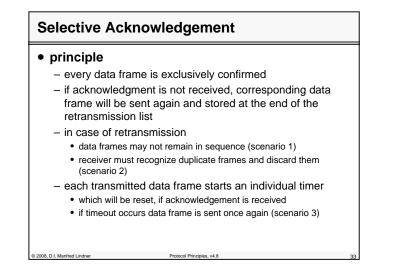
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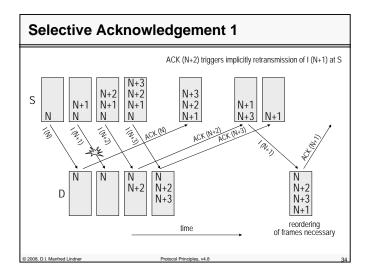
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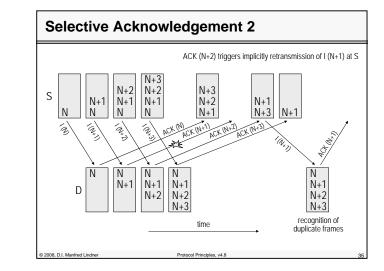
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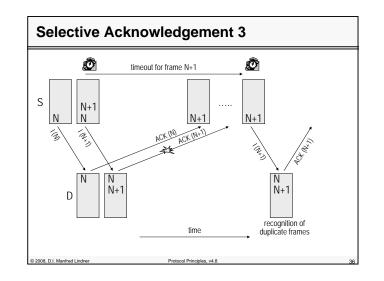




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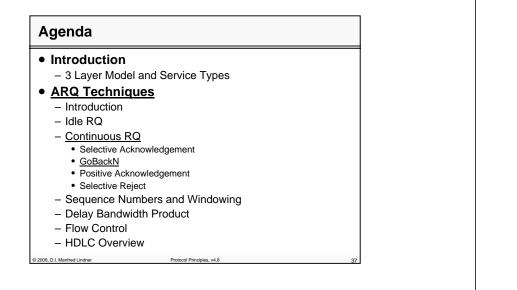
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GoBackN

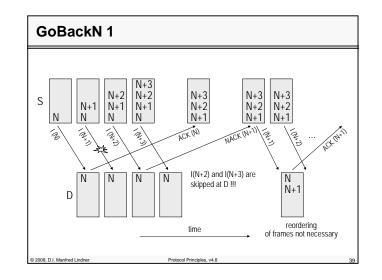
• principle

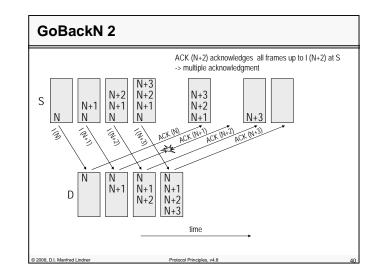
- in case of errors, all data frames since "N" will be requested again by NACK(N) (Negative Ack.)
- all following frames are discarded by receiver until frame with correct sequence number arrives
 - · reordering is not necessary in this case
 - SW at receiver could be kept more simple
- a single acknowledgments could confirm multiple data frames (<u>multiple acknowledgement</u>)
- often use to spare number of Ack's in opposite direction
- each transmitted data frame starts an individual timer
 - which will be reset, if acknowledgement is received
 - if timeout occurs data frame is sent once again (scenario 3)

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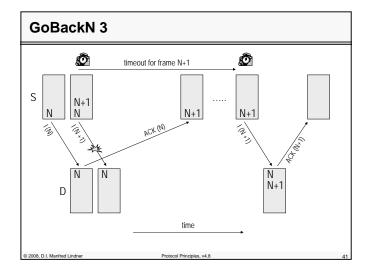


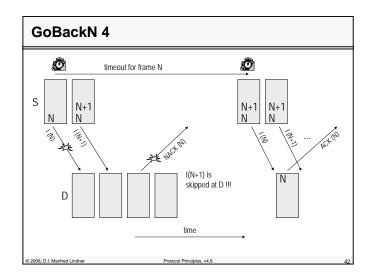


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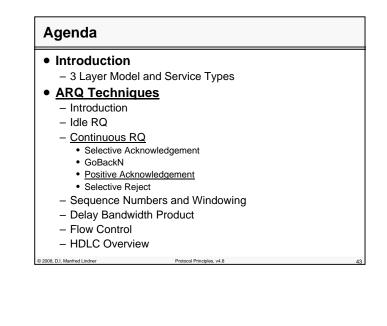
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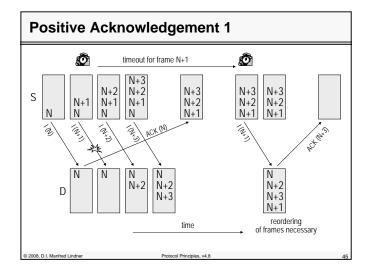


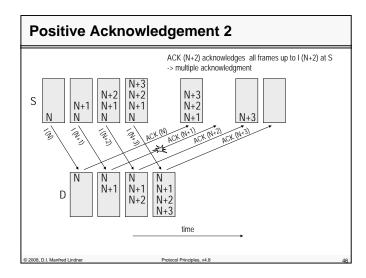
Positive Acknowledgement • principle - data frames will be confirmed as long as frames arrives in sequence • multiple acknowledgement can be used by receiver - if data frames get out of sequence, confirmation is stopped - nevertheless, all following data frames will be stored - each transmitted data frame starts an individual timer · which will be reset, if acknowledgement is received • if timeout occurs data frame is sent once again (scenario 1) - data frames which are already stored in the receiver · can be confirmed with multiple acknowledgements when missing data frame arrives (scenario 1) © 2008, D.I. Manfred Lindner Protocol Principles, ve

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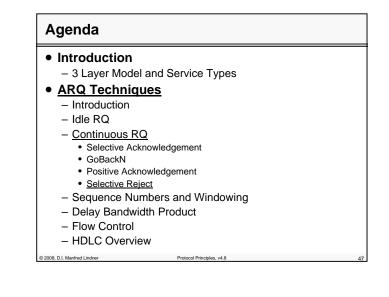
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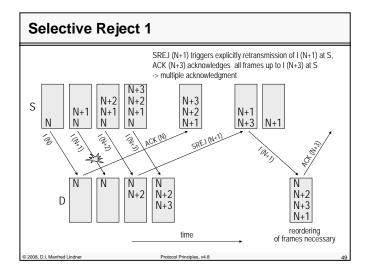


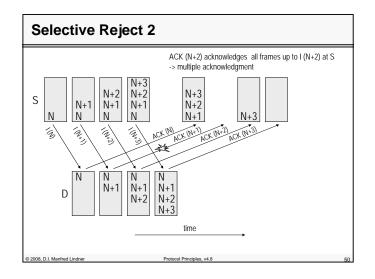
Selective Reject	:
principle	
 data frames will b sequence 	be confirmed as long as frames arrives in
 multiple acknowle 	edgement can be used by receiver
	or, only the data frame causing the error explicitly through SREJ(N) by the
 in case of retrans 	mission
 data frames may 	not remain in sequence (scenario 1)
 each transmitted 	data frame starts an individual timer
 which will be rese 	et, if acknowledgement is received
 if timeout occurs 	data frame is sent once again (scenario 3)
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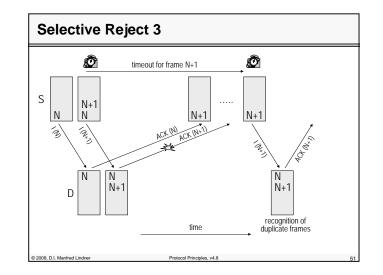




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Sequence Number

- identifiers of data frames are implemented by increasing numbers
 - sequence numbers
 - the number used in I-frames
 - send sequence number N(S)
 - the number used in ACK/NACK/SREJ-frames
 - receive sequence number N(R)
 - register variables are necessary
 - V(S), V(R)

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- must be initialized (set to 0) by connection setup
- handling of V(S), V(R), N(S), and N(R) will be explained in next slides for GoBackN

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V(S), V(R) with GoBackN

- V(S) indicates the sequence number of the next I-frame that will be sent
- V(R) indicates the expected sequence number of the next in-sequence I-frame to be received

 this value will be seen in N(R)
- prior to sending an I-frame, the value of N(S) is set to the value of V(S)

Protocol Principles

- afterwards V(S) is increased by one

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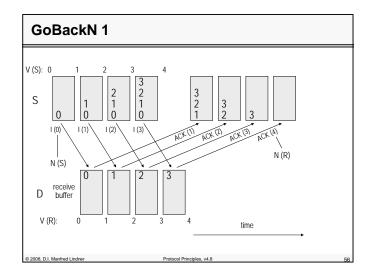
V(S), V(R) with GoBackN

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• receiver only accepts I-frames with N(S) = V(R)

- after successful receipt of a frame V(R) will first be increased by one and then acknowledgment with N(R) = V(R) will be sent
- therefore receipt of ACK with N(R) = x means
 that all I-frames until x-1 are confirmed

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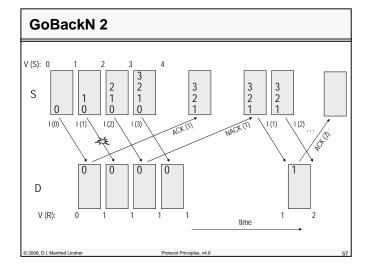


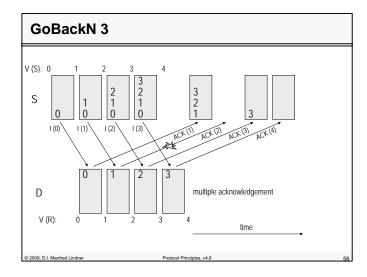
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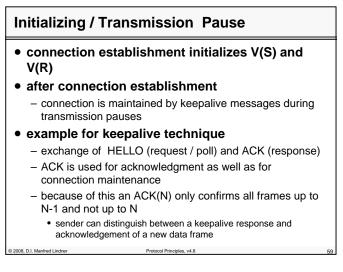
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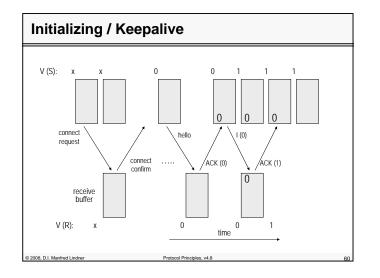




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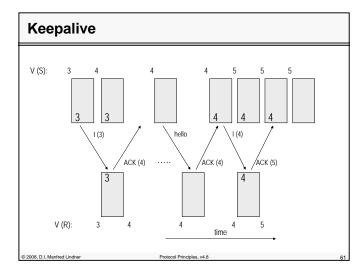




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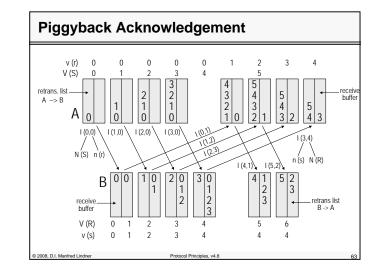


Piggyback Acknowledgement

- confirmation of every data frame is only appropriate for data flow in one direction
- acknowledgment frames produce unnecessary overhead with full duplex data traffic
- acknowledgments contained in data frames in opposite direction can avoid that overhead
 - piggyback acknowledgement
- if no backward data frame is waiting for transmission
 - ACK frame will be sent still

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Data Flow in both Directions

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- data frames contain both send sequence number and receive sequence number of backward direction
- now I-frames and ACK/NACK-frames can arise in both directions
- communication devices must contain both V(S)and V(R)-registers, retransmission and receive lists
- N(S), N(R), V(S) und V(R) control data transfer from A to B
- n(s), n(r), v(s) und v(r) control data transfer from B to A

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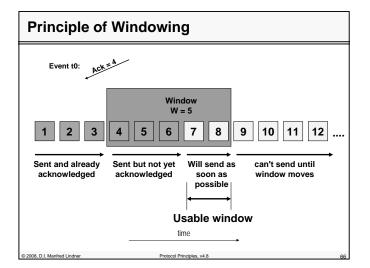
Windowing

- without a restriction of the number of unconfirmed data frames, continuos-RQ would require infinite number of identifiers and buffer memory
- for that reason, the amount W of data frames stored for retransmission must be limited
 - W = send window
- if limit is reached, sending of additional data frames is stopped until receipt of acknowledgement indicates that window is opened again

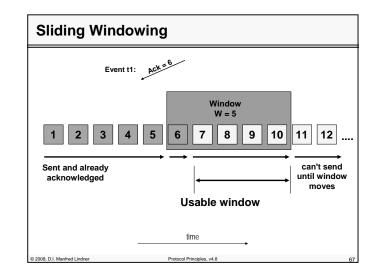
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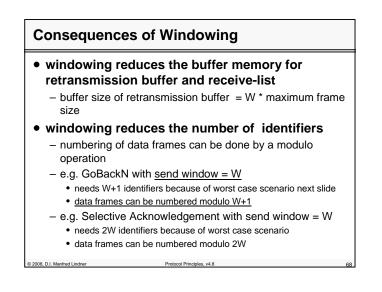
- windowing

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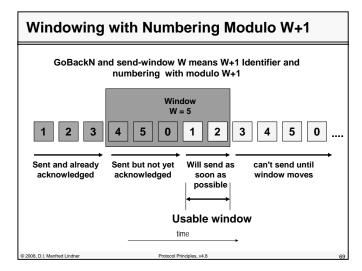


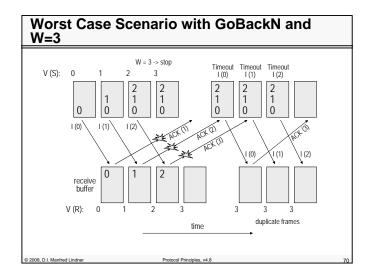
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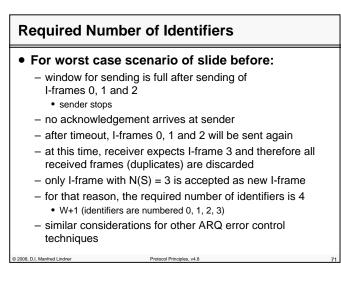
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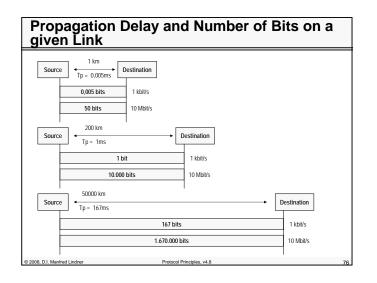
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Tim	ime to Transmit a given Number of Bytes									
<u>s</u>	erializ	ation Delay	<u>(</u> (in ms) =	[(Numb	er of Byt	es * 8)	/ (Bitrat	e in sec)] * 1000)
	Bitrate	9,6 kbit/s	48 kbit/s	128 kbit/s	2,048 Mbit/s	10 Mbit/s	100 Mbit/s	155 Mbit/s	622 Mbit/s	1 Gigabit
	Number of Byte	Delay in msec (10 ⁻³)	Delay in msec (10 ⁻³)	Delay in msec (10 ⁻³)	Delay ir msec (10					
Bit	0,125	0,104167	0,020833	0,007813	0,000488	0,000100	0,000010	0,000006	0,000002	0,0000
Byte	1	0,833333	0,166667	0,062500	0,003906	0,000800	0,000080	0,000052	0,000013	0,0000
PCM-30	32	26,666667	5,333333	2,000000	0,125000	0,025600	0,002560	0,001652	0,000412	0,0002
ATM cell	53	44,166667	8,833333	3,312500	0,207031	0,042400	0,004240	0,002735	0,000682	0,0004
Ethernet	64	53,333333	10,666667	4,000000	0,250000	0,051200	0,005120	0,003303	0,000823	0,0005
X.25	256	213,333333	42,666667	16,000000	1,000000	0,204800	0,020480	0,013213	0,003293	0,0020
IP	576	480,000000	96,000000	36,000000	2,250000	0,460800	0,046080	0,029729	0,007408	0,0046
Ethernet	1.518	1.265,000000	253,000000	94,875000	5,929688	1,214400	0,121440	0,078348	0,019524	0,0121
FR	8.192	6.826,666667	1.365,333333	512,000000	32,000000	6,553600	0,655360	0,422813	0,105363	0,0655
TCP	65.534	54.611,666667	10.922,333333	4.095,875000	255,992188	52,427200	5,242720	3,382400	0,842881	0,5242
		1kbit/s = 1 1KByte = 1								
			52. Djie.							

ih - ī	Propagation Delay (in me	s) = [(Distance	e in m) / (velocity	in m/sec)]*100
			v=200.000km/s	v=300.000km/s
		Distance	Delay in msec (10 ⁻³)	Delay in msec (10 ⁻³)
	CPU Bus	10 cm	0,0000005	0,000003
		1 m	0.0000050	0.0000033
	RS232, V24/V.28	15 m	0.0000750	0.0000500
	LAN, Copper, RJ45	100 m	0.0005000	0.0003333
	LAN, FO, X21/V.11-V.10	1 km	0.0050000	0.0033333
	Local Subscriber Line	2,5 km	0,0125000	0,0083333
	WAN Link Repeater	10 km	0,0500000	0,0333333
	WAN Link Repeater	100 km	0.5000000	0.3333333
	WAN FO Link Repeater	1.000 km	5.0000000	3.3333333
	WAN FO Link Repeater	10.000 km	50,0000000	33,3333333
	Satellite Link	40.000 km	200,0000000	133,33333333
	Satellite Link	50.000 km	250,0000000	166,6666667
		100.000 km	500,0000000	333,3333333
		300.000 km	1500.0000000	1000.0000000

L02 - Protocol Principles

How Long is a Bit?						
Leng	<u>gth</u> (in m) = [((1/(bitrate pe	r sec)] * [(velocil	y in m/sec)]		
		Bitrate	Bit Length in meter	Bit Length in meter		
Analog	ue Modem	9.6 kbit/s	20833,33	31250,00		
	ue Modem	48 kbit/s	4166.67	6250,00		
DS0		64 kbit/s	3125,00	4687,50		
ISDN (2	2B)	128 kbit/s	1562.50	2343.75		
PCM-3	0, E1	2,048 Mbit/s	97,66	146,48		
Token	Rina 4	4 Mbit/s	50.00	75.00		
Etherne	et	10 Mbit/s	20,00	30,00		
Token	Ring16	16 Mbit/s	12,50	18,75		
Fast Et	thernet, FDDI	100 Mbit/s	2,00	3,00		
ATM S	TM1, OC-3	155 Mbit/s	1,29	1,94		
ATM S	TM4, OC-12	622 Mbit/s	0,32	0,48		
Gigabit	Ethernet	1 Gigabit/s	0,20	0,30		
OC-48		2,5 Gigabit/s	0,08	0,12		
10 Giga	abit Ethernet	10 Gigabit/s	0,02	0,03		
			Copper	LWL - Free Space		
			200.000 km /sec	300.000 km / sec		

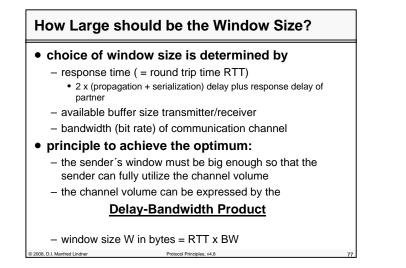


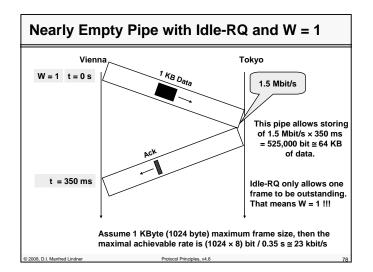
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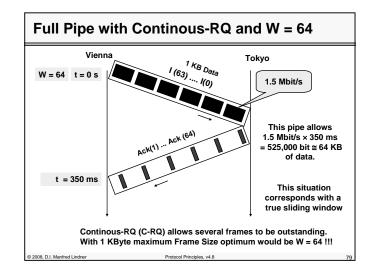
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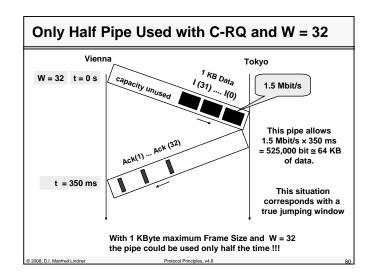




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L02 - Protocol Principles





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L02 - Protocol Principles

Optimal Window Size - Sliding Window

optimal window size (Continuous-RQ)

- acknowledgments arrive just in time to keep the window always open
 - sliding window
- requirement for optimum
 - window size W in bytes in minimum equal to RTT x BW
- if window size is smaller than RTT x BW
 - transmission will be stopped until acknowledgments arrive • jumping window
 - Idle RQ behaviour in worst case with W = 1
- if window size is too large
 - in case of errors many good frames must be retransmitted (see Go Back N) Protocol Principles v4.8

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Timers - Retransmission Timeout

- the value for retransmission timeouts with line protocols can be easily calculated using the following parameters
 - bitrate
 - maximum data frame size
 - worst case time at receiver to generate an acknowledgment
 - size of acknowledgment frame
- calculation for network protocols with varying transmission delays is more complex
 - adaptive process is necessary

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Protocol Principles, v4

L02 - Protocol Principles

Agenda

- Introduction
 - 3 Layer Model and Service Types
- ARQ Techniques
- Introduction
- Idle RQ
- Continuous RQ
 - Selective Acknowledgement
- GoBackN
- Positive Acknowledgement
- Selective Reject
- Sequence Numbers and Windowing
- Delay Bandwidth Product
- Flow Control

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- HDLC Overview

Flow Control

if data frames arrive faster than application is able to process,

- receiver runs out of available buffer storage and good frames must be discarded by the receiver

Protocol Principles v4.8

- discarded data frames will cause retransmission but they will be still discarded because of lack of buffers
- therefore receiver should control the rate of transmission of data frames
 - flow control

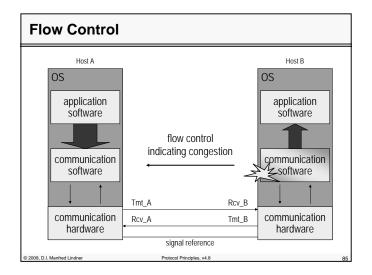
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- overload/congestion situation indicated to the sender using flow control messages
- sender stops and waits until receiver is able to process frames again

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L02 - Protocol Principles



Flow Control

- windowing could be used to implement flow control
 - receiver does not generate acknowledgements in case of congestion
 - sender will stop transmission if send window is closed
- problem with windowing
 - after timeout unconfirmed frames will be retransmitted
 - after a defined amount of unsuccessful retransmissions, the connection is considered to be broken

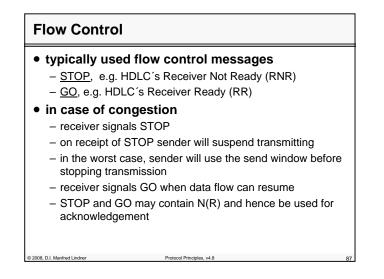
Protocol Principles, v4

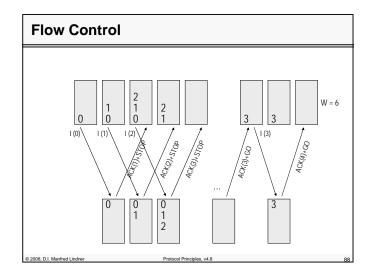
- therefore flow control is based on
 - separate flow control frames
 - and windowing

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L02 - Protocol Principles





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- in case of full duplex data communication
 - STOP and GO control frames are used for flow control in both directions
- in some cases STOP and GO frames are further used for connection management
 - keepalive procedure

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- if no data frames are waiting for transmission a GO frame can signal keepalive to the partner
- if traffic was suspended by STOP a periodic repetition of STOP can signal keepalive to the partner

Protocol Principles v4.8

- in both cases keepalives maintain the connection

Flow Control / Adaptive Windowing

• window size could be

constant or dynamic during lifetime of a connection
 constant window size is used e.g. by HDLC, X.25

• if window size is dynamic

- a start value is negotiated during connection establishment
- actual window size will be dynamically adjusted to an optimal value
 - receiver continuously advertises optimal value (e.g. based on availability free buffer memory)

Protocol Principles, v4.8

- advertised window size = 0 -> STOP
- advertised window size > 0 -> GO

adaptive windowing

e.g used by TCP

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L02 - Protocol Principles

Agenda

- Introduction
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- Flow Control

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HDLC Overview

HDLC

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- High-level Data Link Control
- most widely used data link control protocol based on building elements

Protocol Principles v4.8

- synchronous transmission
- bit-oriented line protocol using bitstuffing
- Continuous RQ with GoBackN, piggybacked ACK
- P/F procedure (see appendix chapter for details)

provides many options

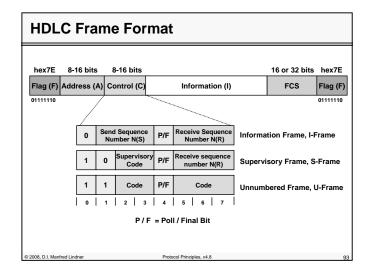
- half-duplex and full-duplex transmission (see appendix chapter for details)
- point-to-point and multipoint configuration (see appendix chapter for details)
- switched or non-switched channels

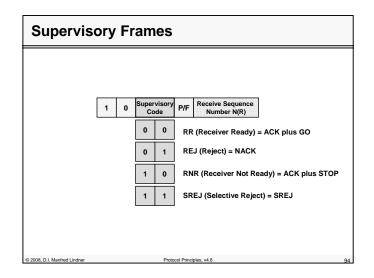
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Protocol Principles, v4

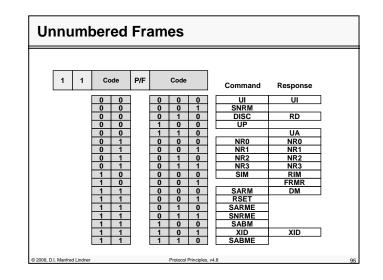
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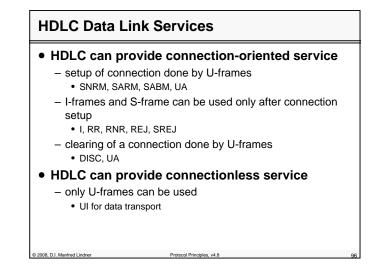




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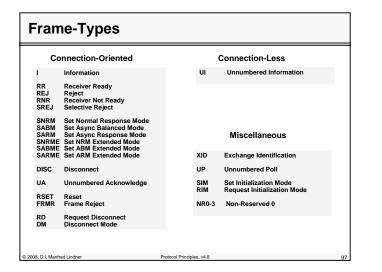


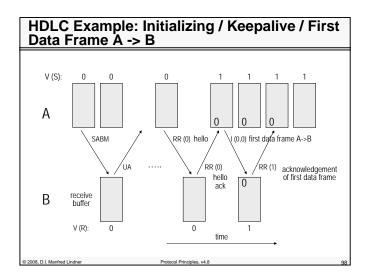
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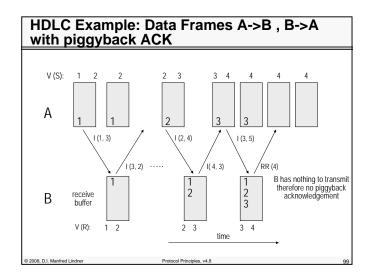


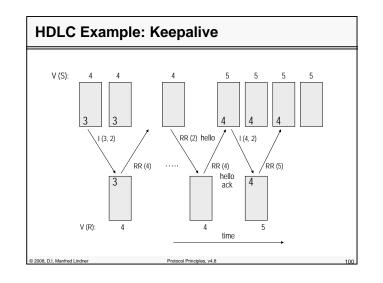
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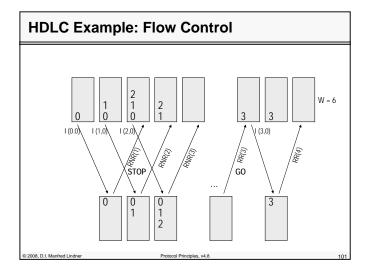
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L02 - Protocol Principles



HDLC Family	
 LAPB - Link Access Procedure Balanced link layer protocol for X.25 LAPD - Link Access Procedure D-Channel ISDN V.120 - used on ISDN terminal adapters for multiplexing 	 LAPM - Link Access Procedure for Modems PPP - Point-to-Point Protocol encapsulates network PDUs and identifies protocol type SDLC - Synchronous Data Link Control (IBM)
LAPB LAPD V.120 LLC (X.25) (ISDN) (ISDN) LLC	DLC LAPM Frame PPP SDLC (V.42) Felay 102

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