

### **Agenda**

- Introduction
- Bit synchronization
  - asynchronous
  - synchronous
- Frame synchronization
  - framing
  - byte stuffing
  - bit stuffing
- Frame protection
- Physical aspects

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### **Representation of Information**

- information is stored, processed and exchanged by computer systems in binary form
  - bit (binary digit)
  - values 0 or 1
- these values are physically represented
  - electrical transmission systems (using copper e.g. coax-, twisted-pair cables)
    - voltage level
    - current level
  - optical transmission systems (using fiber e.g. multi-mode, single-mode fiber)
    - light on / off

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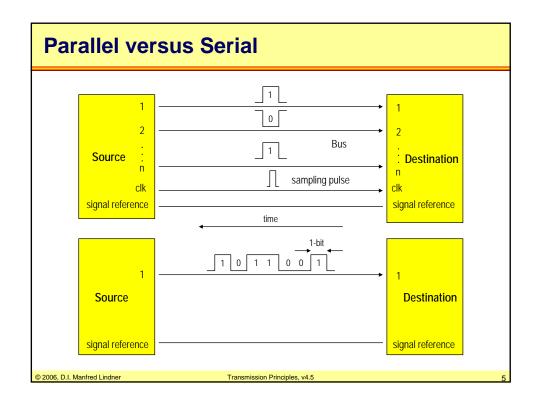
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### **Transmission of Information**

- within a computer system
  - parallel transfer mode
  - a data word (8-bit, 16-bit, ...) is transferred at the same time using several parallel lines called "Bus"
    - · data-bus for transferring data words
    - · address-bus for addressing memory location
    - control-bus for signaling direction of transfer (read/write), clock (clk.), interrupt, ...
- between computer systems
  - bit-serial transmission
  - bits are transferred bit by bit using a single line
  - basic transmission technique used in data communication networks

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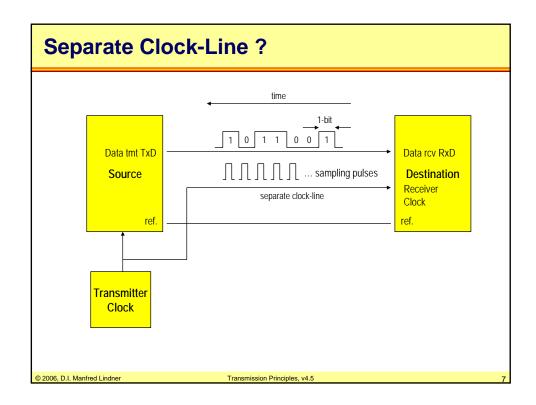
### **Serial Transmission**

### • what does <u>serial</u> transmission mean?

- bits are transmitted on one physical line a single bit at a time using a constant time interval (bit-cell) for each bit
- the receiver of a serial transmission line must sample bits at the right time in order to interpret the bit pattern correctly
- receiver clock must be synchronized to transmitter clock
- one way is to use a separate clock line as it is done by parallel transmission technique
- in case of WAN a separate clock line is not acceptable for reasons of cost
- therefore so called bit (clock) synchronization techniques are used

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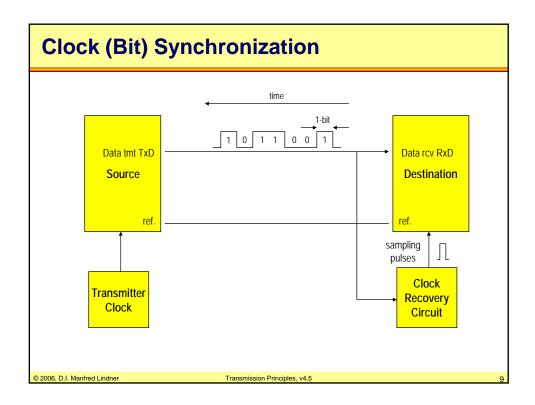


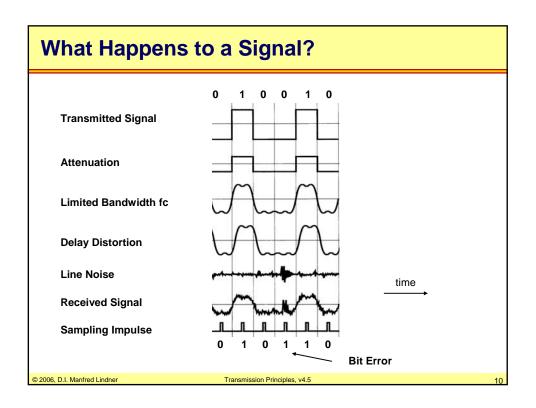
### **Bit Synchronization**

- clock synchronization of receiver clock for serial transmission is called
  - bit synchronization
- bit synchronization principle
  - signal changes are used by the receiver for clock recovery
  - recovered clock generate pulses which are used to sample the bit stream to decide if 0 or 1
  - sampling should occur in the center of bit-cell
    - because signal attenuation, bandwidth limitation, delay distortion will modify signal form
- depending on duration of bit synchronization we can differentiate between
  - asynchronous and synchronous transmission method

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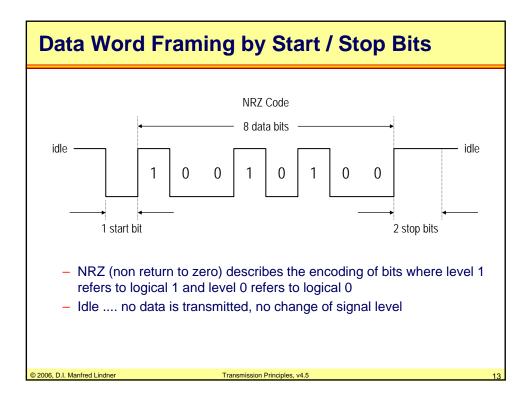
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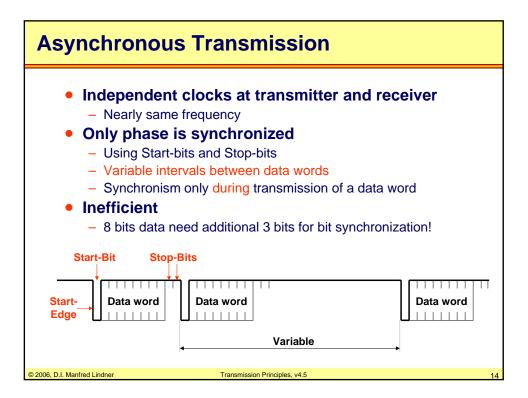
### **Asynchronous Transmission**

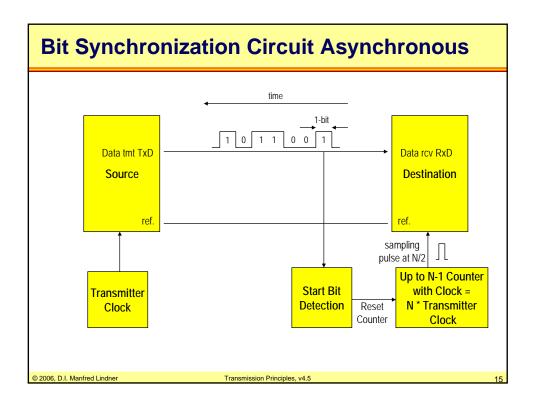
- bit synchronization lasts only for the time needed to transmit one data word
- data words could be sent independently and are synchronized independently from each other
- technique of start/stop bit is used
  - start bit
    - indicated by a binary change from 1 to 0
    - synchronizes the following 8-bit data word by over sampling
  - stop bit(s)
    - one or two bits being binary 1
    - makes sure that every following start bit is recognized correctly regardless of the transmitted data

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# Agenda Introduction Bit synchronization asynchronous synchronous synchronous Frame synchronization framing byte stuffing bit stuffing Frame protection Physical aspects

### **Synchronous Transmission**

- bit synchronization lasts at least for the time to transport a block of data
- requirement
  - sufficient changes of signal levels to enable clock recovery at the receiver
    - Phased Locked Loop (PLL) technique is used to freeze the receiver clock in times where no signal changes are present
- in contrast to asynchronous transmission bit overhead is reduced
  - only at the beginning of a data block additional synchronization bits are necessary, later bit stream itself will keep bit synchronization going on

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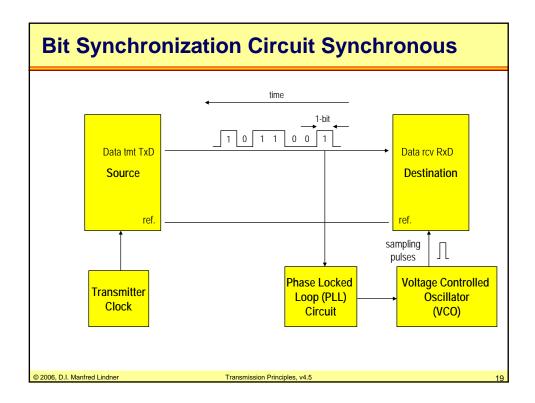
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### **Synchronous Transmission**

- Synchronized clocks
  - Most important today!
- Phase and Frequency synchronized
- Phased-Locked-Loop (PLL) control circuit
  - Requires frequent signal-edges
    - · Achieved by line coding or scrambling of data
      - Encoding at the sender side
      - Decoding at the receiver side
- Allows continuous data stream
  - Receiver remains synchronized for a long while

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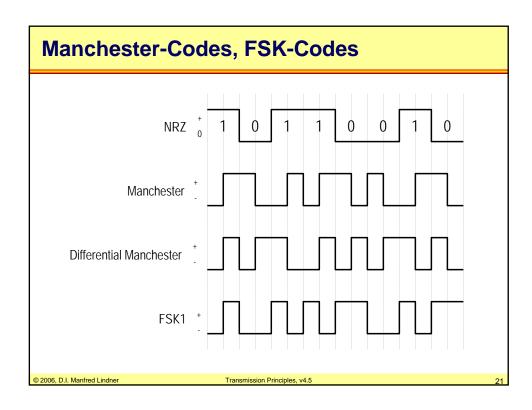


### **Synchronous Transmission**

- bit synchronization depends on sufficient signal changes within the bit stream
  - for long series of 0s or 1s simple NRZ encoding is not able to provide this changes
- two methods are used to guarantee signal changes
  - encoding of bits that every bit contains a signal change
    - Manchester-code (Biphase code), Differential-Manchester-code, Frequency Shift Keying (FSK)-code, commonly used in a LANs
  - encoding of bits in such a way that there are enough signal changes in the bit stream
    - NRZI (with bitstuffing), RZ and AMI (with scrambler)
    - HDB3 (with code violations), commonly used in a WANs

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### **Encoding Rules For Manchester**

### Manchester

- bit is divided into two half-bits
- first half-bit is the complement of the data bit, second halfbit is identical to data bit
- change of signal level occurs in the center of each bit
  - change from 1 to 0 describes a logical 0
  - change from 0 to 1 describes a logical 1

### differential Manchester

- logical 0 is defined by a signal change at the beginning and at the center of the bit
- change of signal only at the center identifies a logical 1
- no signal change at the center of a bit can be used for code violation (J and K symbols)

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### **Encoding Rules for FSK**

### FSK1

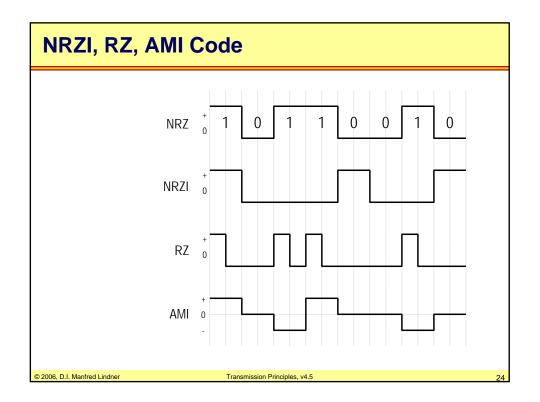
- logical 1 is defined by a signal change at the beginning and at the center of bit
- change of signal level only at the beginning of a bit identifies a logical 0

### FSK0

- vice versa to FSK1
- principle characteristics of Manchester and FSK codes
  - bandwidth requirement is twice of NRZ
  - they have no or constant dc (direct current) component

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### **Encoding Rules for NRZI, RZ**

### NRZI (Non Return to Zero Inverted)

- logical 0 is defined by change of signal level at beginning of bit, logical 1 does not produce any change of signal
- bit stuffing prevents large numbers of 1's in bit stream
- bandwidth requirements are identical to NRZ
- has a dc component

### RZ (Return to Zero)

- positive impulse (half bit length) describes a logical 1, logical 0 does not trigger any signal change
- scrambler prevents large numbers of 0's in bit stream
- bandwidth requirements are twice of NRZ
- has a dc component

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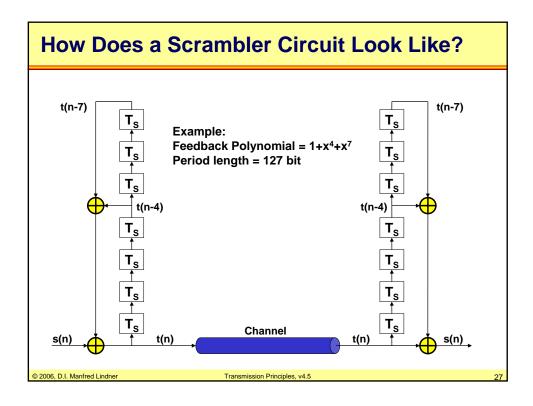
### **Encoding Rules for AMI**

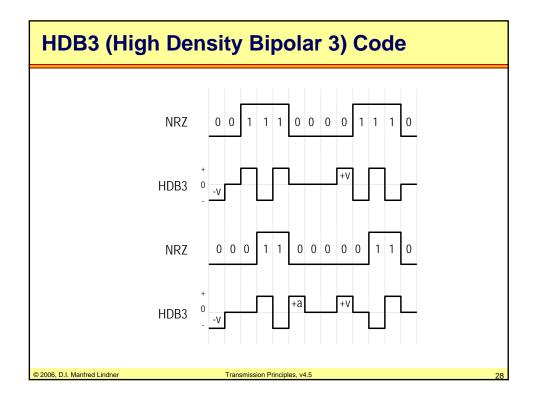
### AMI (Alternate Mark Inversion)

- three level encoding (+, 0, -)
- pulses (length = 1 bit) with changing polarity describe logical 1's, no pulse characterizes a logical 0
- scrambler prevents large numbers of 0's in bit stream
- bandwidth requirements are identical to NRZ
- has no or constant dc component
- NRZI, AMI used in WAN's

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### **Encoding Rules for HDB3**

- logical 1's are encoded using pulses with alternate polarity, a logical 0 never generates a pulse
- exception for sequence of 0's:
  - four 0's are encoded by a special pattern consisting of one or two impulses (A and V-bits)
  - V-bits are code violations, breaking the rule of alternating pulses
  - the following rule avoids DC portion using A- and V-bits
  - bandwidth requirements are identical to NRZ
  - has no or constant dc component

	polarity of last pulse	amount of pulses since last violation					
	last pulse	odd	even				
bit pattern	plus minus	0 0 0 +V 0 0 0 -V	-A 0 0 -V +A 0 0 +V				

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### **Basic Requirements**

- information between systems is exchanged in blocks of data or information frames
- the recognition of the beginning and the end of a block is necessary
  - frame synchronization
- errors on physical lines may lead to damage of digital information
  - 0 becomes 1 and vice versa
  - the longer the block the higher the probability for an error
- methods necessary for error checking
  - frame protection
  - error detection

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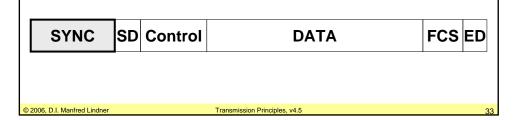
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### **Generic Frame Format** frame header frame trailer bit synchronization payload **SYNC Control DATA** FCS ED frame control information checksum synchronization (protocol header) SYNC- Sync Pattern **ED - Ending Delimiter** SD - Starting Delimiter FCS - Frame Check Sequence

### **SYNC**

- SYNC is a special bit pattern
  - used for bit synchronization after an idle period
  - can be used as fill pattern during idle times to keep the receiver clock synchronized
  - typically a 0101010...-pattern
  - e.g. 8 Byte preamble in Ethernet frames



### **Control Field**

- is used for implementing protocol procedures
- contains information such as
  - frame type, protocol type
    - Data, Ack, Nack, Connect, Disconnect, Reset, etc.
    - IP, IPX, AppleTalk, etc.
  - sequence numbers for identification of frame sequence
    - necessary for error recovery and flow control with connection oriented services
  - address information of source and destination in case of a multipoint line
  - frame length, etc.



### SYNC, SD and ED SD, ED are special bit patterns to mark the beginning and the end of a block not allowed inside the frame What, if delimiter symbols occur within frame ? SYNC SD Control ED DATA SD FCS ED

If application of sender must care of avoiding

- this bit patterns in the data stream
- transmission is <u>not data-transparent</u>
- goal is data transparency

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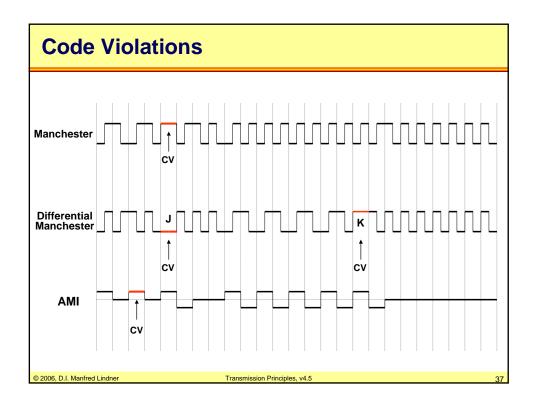
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### **Data Transparency**

- techniques to avoid this bit pattern inside the frame
  - byte stuffing with character based method
    - e.g. IBM BSC (Binary Synchronous Control) protocol
    - e.g. PPP over asynchronous line
  - bit stuffing with bit oriented method
    - e.g. HDLC (High level Data Link Control)
    - e.g. PPP over synchronous line
  - code violations
    - e.g. Token Ring J,K Symbols of Differential-Manchester-code
  - byte count technique
    - e.g. DDCMP (Digital Data Communications Message Protocol)
  - idle line/sync bits before special SD and idle line as ED
    - e.g. Ethernet

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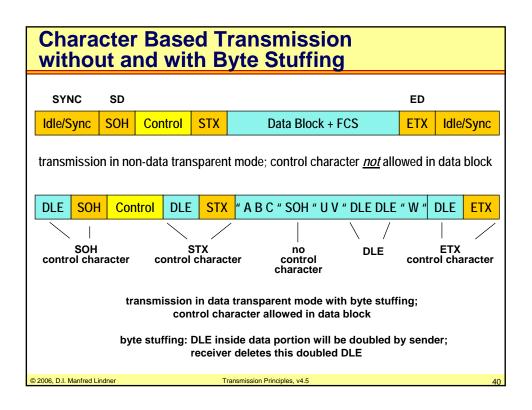
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Character Based Transmission - ASCII-Code											
American Standard Code for Information Interchange											
Bit Positions 5	0 0 0	0 0 1	0 1 0	0 1 1	1 0 0	1 0 1	1 1 0	1 1 1			
0 0 0 0 0 0 0 0 0 1	Nul SOH	DLE DC1	SP !	0 1	@ A	P Q	\ a	p q			
0 0 1 0	STX	DC2 DC3	" #	2	B C	R S	b c	r			
0 1 0 0	EOT ENQ	DC4 NAK	\$ %	4 5	D E	T U	d e	t			
0 1 1 0	ACK BEL	SYN ETB	&	6	F	V	f	V W			
1 0 0 0	BS HT	CAN	(	8	H	X	h i	X			
1 0 1 0	LF VT	SUB	*	:	J K	Z	j	Z {			
1 1 0 0	FF CR	FS GS	,	, <	L M	\	i m	Ì			
1 1 1 0	SO	RS US		- > ?	N O	,	n	~ DEL			
4 3 2 1											
Printable Character Information Separator Others  2006, D.I. Manfred Lindner Transmission Principles, v4.5											



### **Character Based Transmission Byte Stuffing**

- the following control characters are used (ASCII, EBCDIC)
  - SOH (Start of Header; ASCII 0x01)
  - STX (Start of Text; ASCII 0x02)
  - ETX (End of Text; ASCII 0x03)
- not allowed inside the data portion
  - printable characters don't contain control characters
- no such restriction with byte stuffing
  - control characters are only recognized as control characters with "DLE" (Data Link Escape; ASCII 0x10) in front of them
  - if "DLE" is to be transmitted as data, it will be doubled

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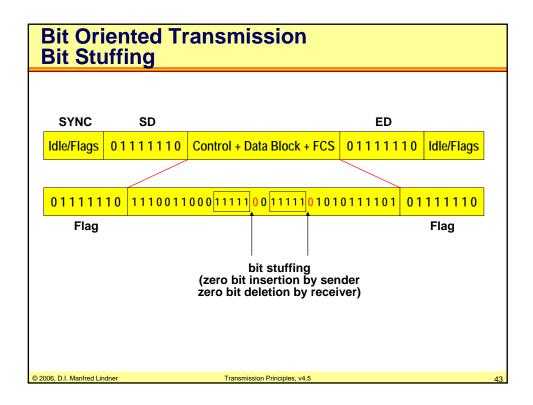
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### **Bit Oriented Transmission Bit Stuffing**

- SD and ED equals 01111110, called flag
  - also used for SYNC
  - any bit pattern different to flag will be interpreted as beginning of the frame
- flag should not occur inside the frame
  - would indicate the end of the frame
- bit stuffing avoids the occurrence of the flag within a frame
  - sender automatically inserts a zero after a sequence of 5 ones
  - receiver automatically deletes inserted zero bits
  - a sequence of 6 ones only occurs at the end of the frame

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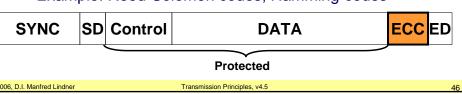
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Two basic strategies developed by network designers

**Error Correction versus Error Detection** 

- 1. Forward Error Control
  - Include enough redundant information with each block of date to enable receiver to correct errors occurred -> error correcting codes (important -> "Hamming Distance")
  - Required for "extreme" conditions
    - High BER (Bit Error Rate), EMR
    - · Long delays, space links
  - Example: Reed-Solomon codes, Hamming-codes



## Error Correction versus Error Detection 2. Feedback Error Control Include enough redundant information with each block of date to enable receiver to detect only errors occurred -> error detecting codes -> Frame Check Sequence After error detection a retransmission of frame is initiated through feedback to the sender SYNC SD Control DATA FCS ED

### Frame Check Sequence (FCS)

- sender generates checksum (FCS) using an agreed rule in order to protect the data block
  - FCS is added at the end of the frame (FCS tmt)
  - frame protection
- receiver calculates its own FCS\_rcv and compares it with FCS\_tmt
  - error detection
  - FCS\_rcv = FCS\_tmt ... no error
  - FCS\_rcv not equal FCS\_tmt ... ERROR
- detection of an error
  - error recovery done by retransmission of frame

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### **FCS Methods**

- many possibilities for creating checksums (FCS)
  - parity bit (even, odd)
  - summarization of all data words modulo 2
  - Cyclic Redundancy Check (CRC) which is based on theory of polynomial code (most complex method)
- complexity of checksum method determines
  - types of errors that can be detected for 100%
  - error probability for undetectable errors for a given frame size
- different FCS methods were standardized
  - depending on physical network type and expected line error patterns

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### **Theoretical Basis for Data Transmission**

- How can a digital signal be represented?
  - Fourier analysis proves that any periodic function g(t) with period T can be constructed by summing a (infinite in case of rectangle pulses) number of sinus and cosines functions

$$g(t) = (1/2)c + \sum_{n=1}^{\infty} a_n \sin(2\pi n f t) + \sum_{n=1}^{\infty} b_n \cos(2\pi n f t)$$

- with f = 1/T and a<sub>n</sub> and b<sub>n</sub> as amplitudes of the n<sup>th</sup> harmonics and c as the dc component
- such a decomposition is called Fourier series

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### **Fourier Coefficients**

 How can the values of c, a<sub>n</sub> and b<sub>n</sub> be computed?

$$c = (2/T) \int_{0}^{T} g(t)dt$$

$$a_n = (2/T) \int_{0}^{T} g(t) \sin(2\pi n f t) dt$$

$$b_n = (2/T) \int_{0}^{T} g(t) \cos(2\pi n f t) dt$$

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### **Imperfect Real Data Transmission**

1

- no transmission systems can transmit signals without losing some power (attenuation)
  - if all harmonics would be equally diminished the signal would be reduced in amplitude but not distorted
  - unfortunately all transmission systems diminish different harmonics by different amounts
  - usually amplitudes from 0 up to certain frequency fc are transmitted undiminished with all frequencies above fc are strongly attenuated
    - fc may be caused by a physical property of the transmission medium
    - fc may be caused by filter function introduced intentionally in the transmission system (Pupin)
    - <u>fc</u> is synonymous for useable bandwidth <u>B</u> of a given transmission system

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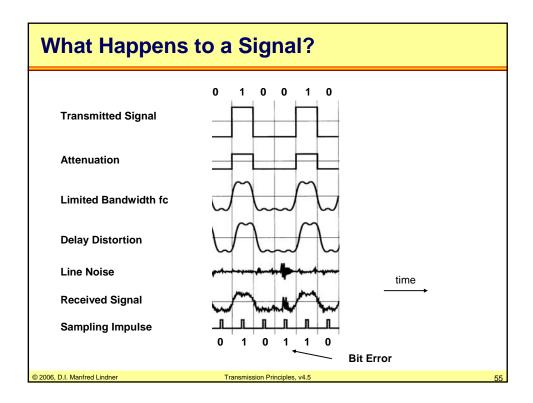
### **Imperfect Real Data Transmission**

2

- no transmission systems can transmit different Fourier components with the same speed (delay distortion)
  - for digital data it may happen that fast components from one bit may catch up and overtake slow components from the bit ahead and hence bits are mixed
  - inter-symbol interference
    - eye-diagram for visualization of delay distortion
- no transmission systems is free from noise
  - noise is unwanted energy from sources other than from the transmitter

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### **Real Data Transmission**

### • in real transmission systems

 the original signal will be attenuated, distorted and influenced by noise when traversing the transmission line

### by increasing the bit rate

- bit synchronization even in middle of a bit becomes more and more difficult because of these impairments
- above a certain rate bit synchronization will be impossible

### relationship

 between bandwidth fc, line length and maximum achievable bit rate on a certain transmission line (system)

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### Nyquist's Law

- How many bits can be transported over a ideal (noiseless) transmission channel?
  - Nyquist's law:  $R = 2 * B * log_2 V$ 
    - valid for a noiseless channel
    - R ... maximum bit rate (bits/sec)
    - B ... bandwidth range of a bandwidth limited transmission
    - V ... number of signal levels (e.g. 2 for binary transmission)
  - example analogue telephone line
    - B = 3000 Hz (range 400 3400 Hz)
    - R = 6000 bits/sec for V = 2
    - R = 18000 bits/sec for V = 8

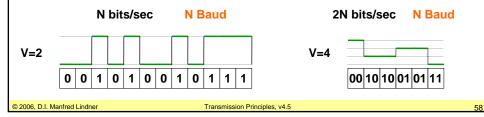
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### **Bit and Baud**

- the rate of changes of a signal
  - is called signaling rate R<sub>s</sub> and is measured in Baud
- the rate of bits transported
  - is called bit rate R and is measured in bits/sec (bps)
  - $-R = R_s * log_2 V$ 
    - V ... number of signal levels
- R = R<sub>s</sub>
  - for binary transmission where V = 2



### Shannon's Law

- How many bits can be transported over a noisy transmission channel?
  - disturbance caused by crosstalk, impulse noise, thermal or white noise
  - Shannon's law:  $\max R = B * \log_2 (1+S/N)$ 
    - S ... signal power, N ... noise power
    - SNR ... Signal to Noise Ratio measured in decibel (db)
    - SNR =  $10 * \log_{10} S/N$
  - example analogue telephone line
    - B = 3000 Hz
    - SNR = 30 db means 30 = 10 \*  $log_{10}$  (S/N) -> S/N = 1000
    - max R = approximately 30000 bits/sec

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### **Baseband Mode**

- all the available bandwidth of the serial line is used to derive a single transmission path
- signals travel as rectangle pulses
- physical property of transmission medium, power of sender, sensitivity of receiver and S/N ratio are the limiting factors for the achievable bit rate
- appropriate encoding
  - to ensure bit synchronization
  - to avoid dc component
  - to keep electromagnetic radiation low

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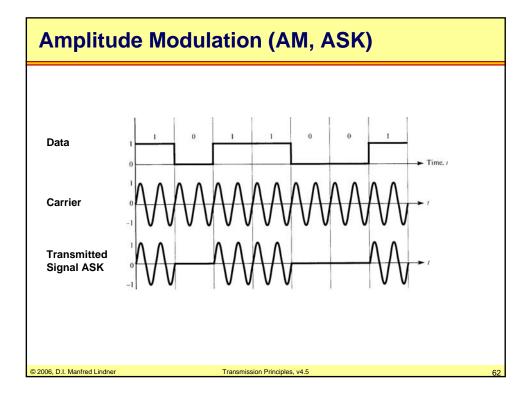
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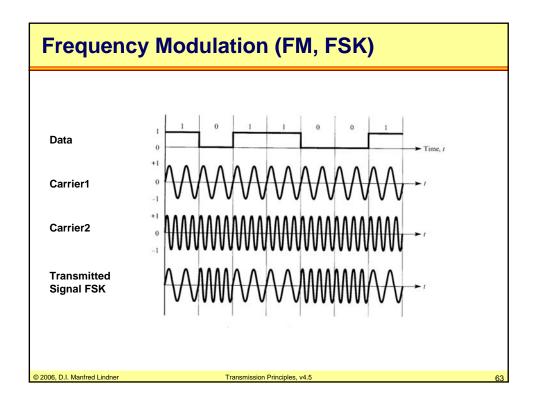
### **Narrowband Mode**

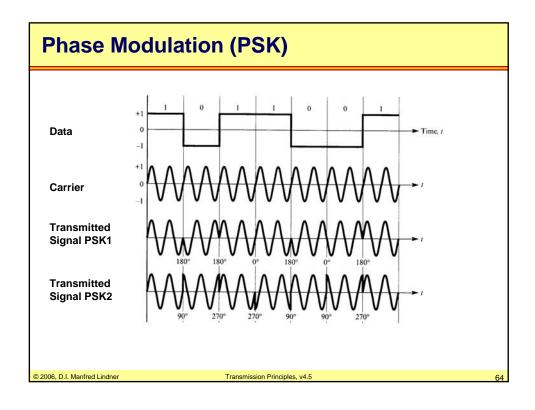
- bandwidth is intentionally limited and hence binary signals (rectangle pulses) must be adapted before using the line
- adaptation is done by modulation
  - e.g. Modem for transport of data over telephone network
- several techniques were developed
  - amplitude modulation (amplitude-shift-keying ASK)
  - frequency modulation (frequency-shift-keying FSK)
  - phase modulation (phase-shift-keying PSK)
  - combination of above like QAM (Quadrature Amplitude Modulation) used in modern high speed modems today
    - 12 phase shifts and two amplitudes are used to represent 16 valid combinations -> 4 bits are transported in a single step
    - used e.g. by V.32 with 9600 bit/sec over 2400 baud line

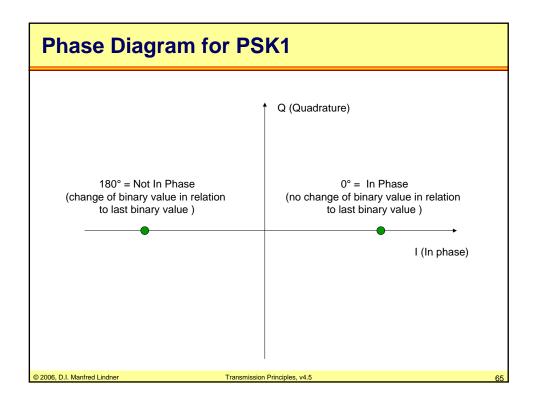
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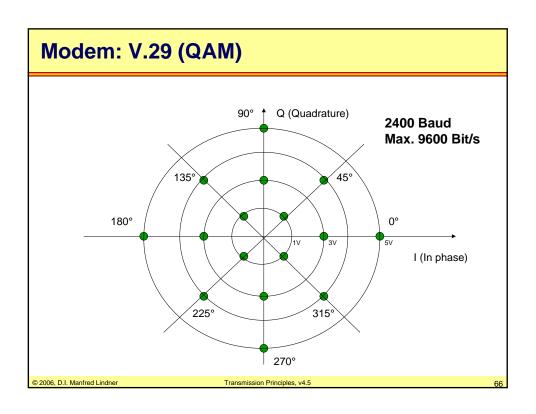
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### Modem

### Modulator / Demodulator

- modem adapts digital (rectangle) signals in order to be transported over analogous telephone network
  - limited bandwidth (200 3500 Hz)
- done by different modulation techniques
  - AM, FM, Phase-Modulation, QAM, Trellis-Code, etc.
- 1st Wave
  - Frequency Division Protocols, all rates to 2400 bits/s
    - Modems: advanced analog filters
    - Telco: pass audio frequencies of 200 Hz to 2.4 KHz
- 2nd Wave
  - 1st generation Echo Canceling Protocols, 9600 & 14400 bits/s
    - Modems: low cost DSPs
    - Telco: pass audio frequencies of 200 Hz to 2.4 KHz

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### Modem (cont.)

- 3rd Wave
  - 2nd gen. Echo Canceling Protocols, rates to 28.8 Kbits/s
    - Modems: higher performing, low cost DSPs
    - Telco: pass audio frequencies of 200 Hz to 2.8 KHz
- 4th Wave
  - extending Echo Canceling Protocols, rates to 33.6 Kbits/s
    - Modems: higher performing, low cost DSPs
    - Telco: pass audio frequencies of 200 Hz to 3.1 KH
- 5th Wave
  - Digital stepping protocols, 34 Kbits/s to 56 Kbits/s
    - Modems: higher performing, low cost DSPs
    - Telco: pass audio frequencies of 200 Hz to 3.1 KHz, all digital path to subscriber line,
       64K PCM digital to analog conversion, limited loop length, no line conditioners

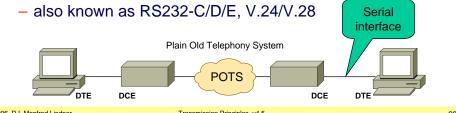
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### Modem Control by EIA-232 / V.24

### EIA-232 / V.24 standard

- serial interface definition between a DCE and DTE
  - DTE (Data Terminal Equipment e.g. end system)
  - DCE (<u>Data Circuit Terminating Equipment e.g. modem</u>)
- for short distance and low speed connectivity
- specifies a set of physical lines and necessary electrical / mechanical aspects
  - data signals for serial transmission, control signals for modem (DCE) control, unbalanced transmission, connector



### **EIA-232 Data and Control Signals**

- data signals:
  - · transport of serial data bit-stream
  - TxD (Transmit Data) DTE -> DCD
  - RxD (Receive Data) DCE -> DTE
- control signals:
  - control function between modem and end system
  - RTS (Request To Send) DTE -> DCE
    - DTE requests permission to send data to modem
  - CTS (Clear To Send) DCE-> DTE
    - DCE grants permission to send
  - DCD (Data Carrier Detect) DCE -> DTE
    - DCE indicates that it is receiving carrier from remote modem
  - DSR (Data Set Ready) DCE -> DTE
    - DCE indicates that it is operational (the modem is powered on)

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### **EIA-232 Control Signals (cont.)**

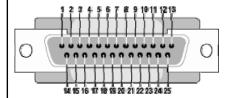
- control signals (cont.)
  - DTR (Data Terminal Ready) DTE -> DCE
    - DTE indicates that it is operational (the end system is powered on)
  - RI (Ring Indicator) DCE -> DTE
    - DCE indicates that the phone is ringing
  - Transmitter Signal Element Timing DCE -> DTE
    - used in synchronous mode to provide clock to the DTE for TxD
  - Receiver Signal Element Timing DCE -> DTE
    - used in synchronous mode to provide clock to the DTE for RxD
  - Transmitter Signal Element Timing Return DTE -> DCE
- EIA-232 specified limits:
  - Length: 15m, 30m
  - Speed: 20kbit/sec, 64kbit/sec / Practice: up to 200kbit/sec

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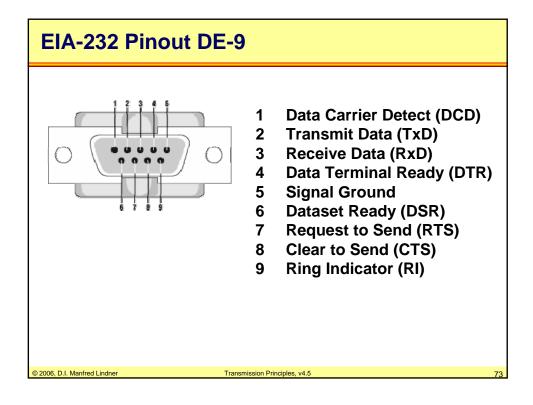
### EIA-232 Pinout DB-25

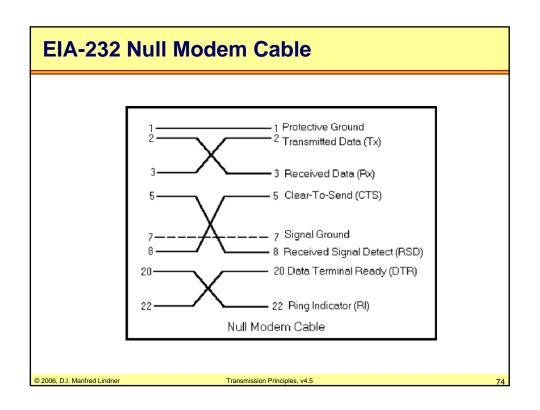


- 2 Transmit Data (TxD)
- 3 Receive Data (RxD)
- 4 Request to Send (RTS)
- 5 Clear to Send (CTS)
- 6 Dataset Ready (DSR)
- 7 Signal Ground
- 8 Data Carrier Detect (DCD)
- 15 Transmit Clock
- 17 Receive Clock
- 20 Data Terminal Ready (DTR)
- 24 Auxiliary Clock

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### **Broadband Mode**

- the available bandwidth of the serial line is divided to derive a number of lower bandwidth transmission paths on one serial line
- in analogue systems every path is modulated by a unique carrier
  - a certain base-frequency which together with the necessary bandwidth range for that channel occupies a certain frequency band of the given transmission system
  - cable television as example
- in digital systems broadband means sometimes high speed only
  - e.g. B-ISDN = ATM
  - but no modulation is used to achieve these

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