Transmission Principles Serialization, Bit synchronization, Framing, Error Checking Physical Aspects of Transmission, Modem

Agenda

- Introduction
- Bit synchronization
 - asynchronous
 - synchronous
- Frame synchronization
 - framing
 - byte stuffing
 - bit stuffing
- Frame protection
- Physical aspects

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Representation of Information

- information is stored, processed and exchanged by computer systems in binary form
 - bit (binary digit)
 - values 0 or 1
- these values are physically represented
 - electrical transmission systems (using copper e.g. coax-, twisted-pair cables)
 - voltage level
 - current level
 - optical transmission systems (using fiber e.g. multi-mode, single-mode fiber)
 - light on / off

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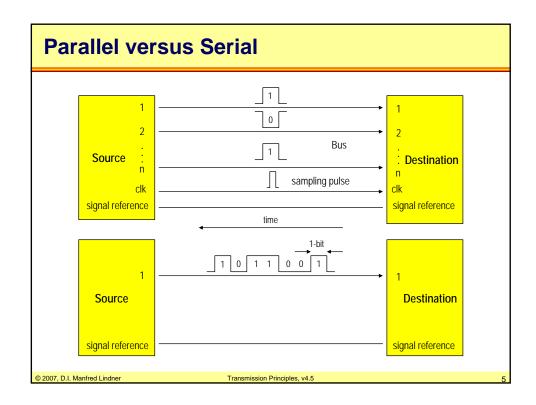
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Transmission of Information

- within a computer system
 - parallel transfer mode
 - a data word (8-bit, 16-bit, ...) is transferred at the same time using several parallel lines called "Bus"
 - · data-bus for transferring data words
 - address-bus for addressing memory location
 - control-bus for signaling direction of transfer (read/write), clock (clk.), interrupt, ...
- between computer systems
 - bit-serial transmission
 - bits are transferred bit by bit using a single line
 - basic transmission technique used in data communication networks

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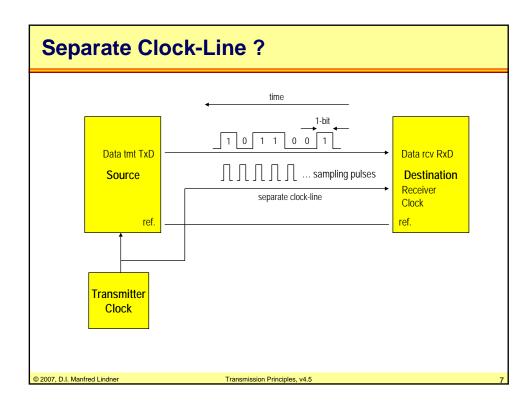
Serial Transmission

what does <u>serial</u> transmission mean?

- bits are transmitted on one physical line a single bit at a time using a constant time interval (bit-cell) for each bit
- the receiver of a serial transmission line must sample bits at the right time in order to interpret the bit pattern correctly
- receiver clock must be synchronized to transmitter clock
- one way is to use a separate clock line as it is done by parallel transmission technique
- in case of WAN a separate clock line is not acceptable for reasons of cost
- therefore so called bit (clock) synchronization techniques are used

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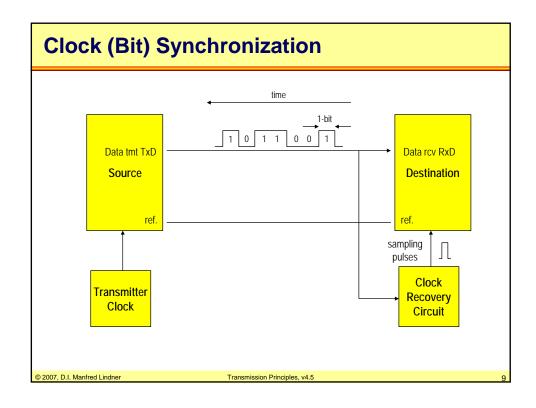


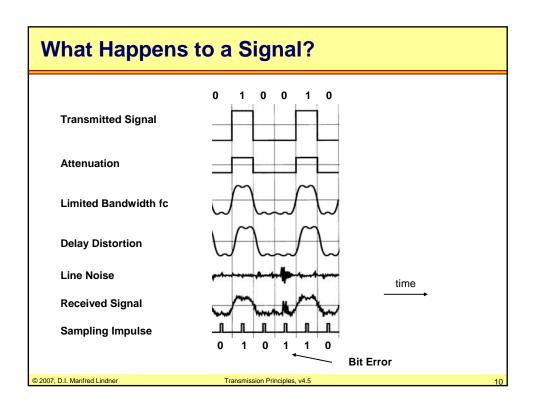
Bit Synchronization

- clock synchronization of receiver clock for serial transmission is called
 - bit synchronization
- bit synchronization principle
 - signal changes are used by the receiver for clock recovery
 - recovered clock generate pulses which are used to sample the bit stream to decide if 0 or 1
 - sampling should occur in the center of bit-cell
 - because signal attenuation, bandwidth limitation, delay distortion will modify signal form
- depending on duration of bit synchronization we can differentiate between
 - asynchronous and synchronous transmission method

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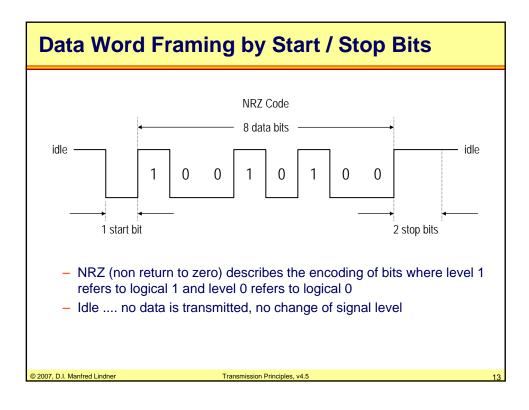
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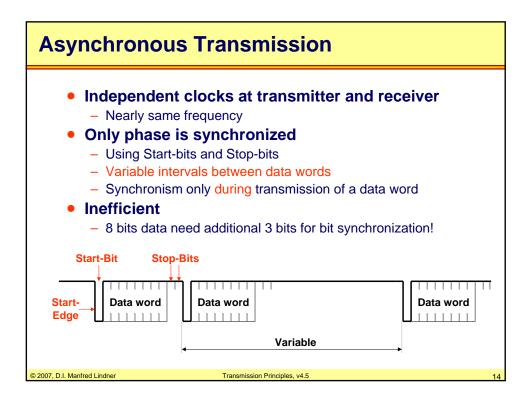
Asynchronous Transmission

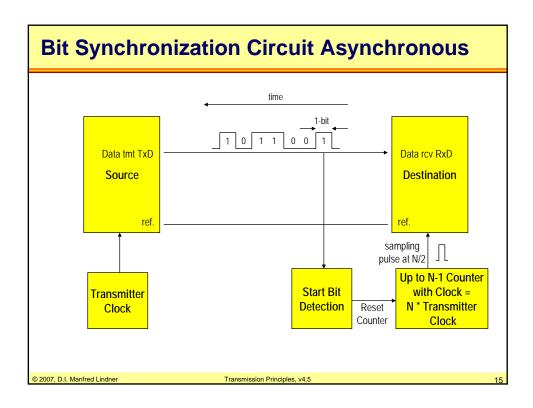
- bit synchronization lasts only for the time needed to transmit one data word
- data words could be sent independently and are synchronized independently from each other
- technique of start/stop bit is used
 - start bit
 - indicated by a binary change from 1 to 0
 - synchronizes the following 8-bit data word by over sampling
 - stop bit(s)
 - one or two bits being binary 1
 - makes sure that every following start bit is recognized correctly regardless of the transmitted data

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Synchronous Transmission

- bit synchronization lasts at least for the time to transport a block of data
- requirement
 - sufficient changes of signal levels to enable clock recovery at the receiver
 - Phased Locked Loop (PLL) technique is used to freeze the receiver clock in times where no signal changes are present
- in contrast to asynchronous transmission bit overhead is reduced
 - only at the beginning of a data block additional synchronization bits are necessary, later bit stream itself will keep bit synchronization going on

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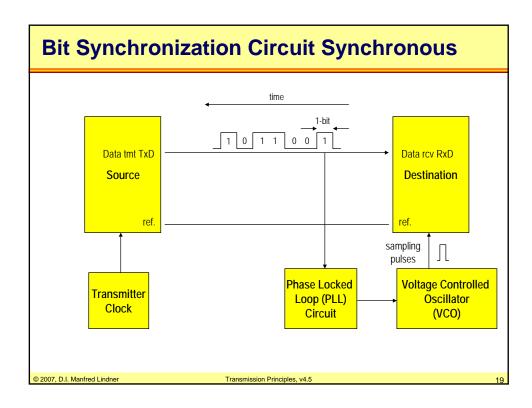
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Synchronous Transmission

- Synchronized clocks
 - Most important today!
- Phase and Frequency synchronized
- Phased-Locked-Loop (PLL) control circuit
 - Requires frequent signal-edges
 - · Achieved by line coding or scrambling of data
 - Encoding at the sender side
 - Decoding at the receiver side
- Allows continuous data stream
 - Receiver remains synchronized for a long while

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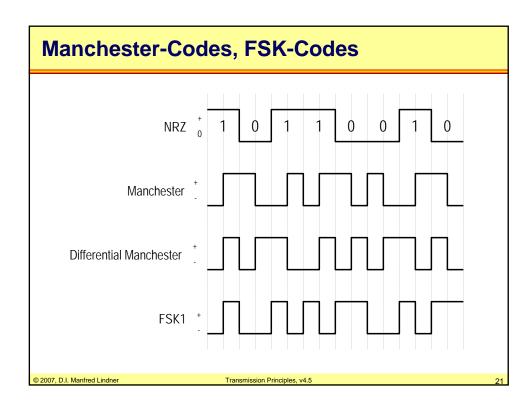


Synchronous Transmission

- bit synchronization depends on sufficient signal changes within the bit stream
 - for long series of 0s or 1s simple NRZ encoding is not able to provide this changes
- two methods are used to guarantee signal changes
 - encoding of bits that every bit contains a signal change
 - Manchester-code (Biphase code), Differential-Manchester-code, Frequency Shift Keying (FSK)-code, commonly used in a LANs
 - encoding of bits in such a way that there are enough signal changes in the bit stream
 - NRZI (with bitstuffing), RZ and AMI (with scrambler)
 - HDB3 (with code violations), commonly used in a WANs

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Encoding Rules For Manchester

Manchester

- bit is divided into two half-bits
- first half-bit is the complement of the data bit, second halfbit is identical to data bit
- change of signal level occurs in the center of each bit
 - change from 1 to 0 describes a logical 0
 - change from 0 to 1 describes a logical 1

differential Manchester

- logical 0 is defined by a signal change at the beginning and at the center of the bit
- change of signal only at the center identifies a logical 1
- no signal change at the center of a bit can be used for code violation (J and K symbols)

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Encoding Rules for FSK

FSK1

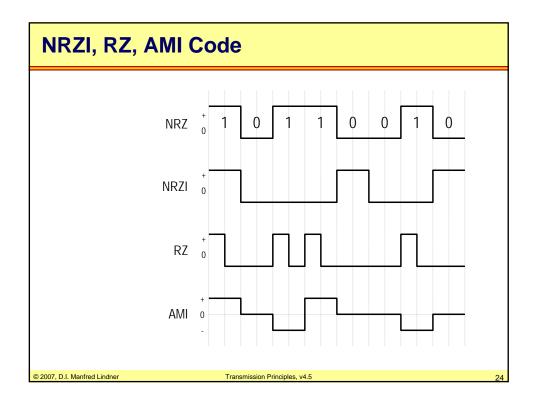
- logical 1 is defined by a signal change at the beginning and at the center of bit
- change of signal level only at the beginning of a bit identifies a logical 0

FSK0

- vice versa to FSK1
- principle characteristics of Manchester and FSK codes
 - bandwidth requirement is twice of NRZ
 - they have no or constant dc (direct current) component

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Encoding Rules for NRZI, RZ

NRZI (Non Return to Zero Inverted)

- logical 0 is defined by change of signal level at beginning of bit, logical 1 does not produce any change of signal
- bit stuffing prevents large numbers of 1's in bit stream
- bandwidth requirements are identical to NRZ
- has a dc component

RZ (Return to Zero)

- positive impulse (half bit length) describes a logical 1, logical 0 does not trigger any signal change
- scrambler prevents large numbers of 0's in bit stream
- bandwidth requirements are twice of NRZ
- has a dc component

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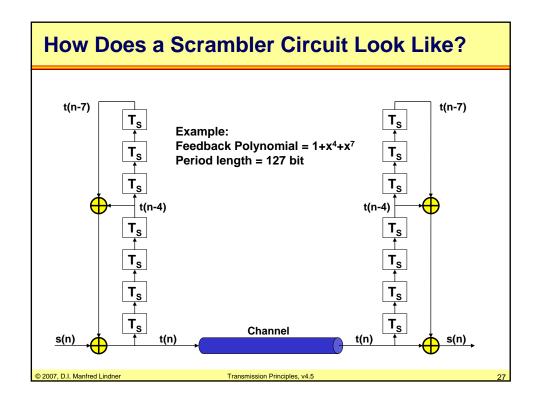
Encoding Rules for AMI

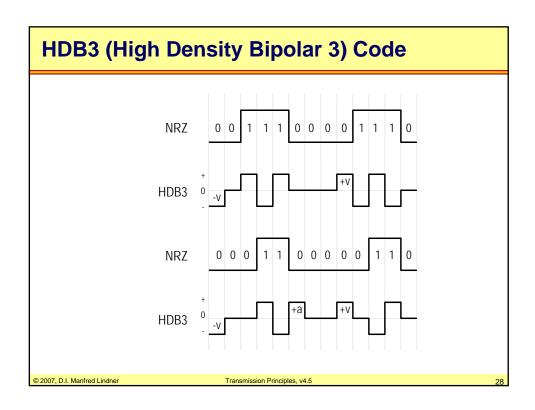
AMI (Alternate Mark Inversion)

- three level encoding (+, 0, -)
- pulses (length = 1 bit) with changing polarity describe logical 1's, no pulse characterizes a logical 0
- scrambler prevents large numbers of 0's in bit stream
- bandwidth requirements are identical to NRZ
- has no or constant dc component
- NRZI, AMI used in WAN's

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Encoding Rules for HDB3

- logical 1's are encoded using pulses with alternate polarity, a logical 0 never generates a pulse
- exception for sequence of 0's:
 - four 0's are encoded by a special pattern consisting of one or two impulses (A and V-bits)
 - V-bits are code violations, breaking the rule of alternating pulses
 - the following rule avoids DC portion using A- and V-bits
 - bandwidth requirements are identical to NRZ
 - has no or constant dc component

	polarity of last pulse	amount of pulses since last violation						
	last pulse	odd	even					
bit pattern	plus minus	0 0 0 +V 0 0 0 -V	-A 0 0 -V +A 0 0 +V					

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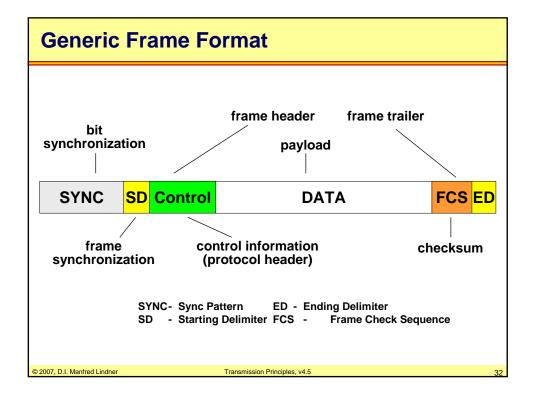
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Basic Requirements

- information between systems is exchanged in blocks of data or information frames
- the recognition of the beginning and the end of a block is necessary
 - frame synchronization
- errors on physical lines may lead to damage of digital information
 - 0 becomes 1 and vice versa
 - the longer the block the higher the probability for an error
- methods necessary for error checking
 - frame protection
 - error detection

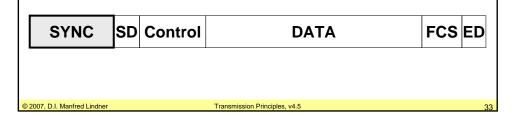
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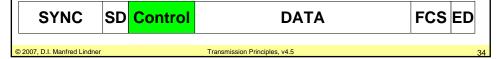
SYNC

- SYNC is a special bit pattern
 - used for bit synchronization after an idle period
 - can be used as fill pattern during idle times to keep the receiver clock synchronized
 - typically a 0101010...-pattern
 - e.g. 8 Byte preamble in Ethernet frames



Control Field

- is used for implementing protocol procedures
- contains information such as
 - frame type, protocol type
 - Data, Ack, Nack, Connect, Disconnect, Reset, etc.
 - IP, IPX, AppleTalk, etc.
 - sequence numbers for identification of frame sequence
 - necessary for error recovery and flow control with connection oriented services
 - address information of source and destination in case of a multipoint line
 - frame length, etc.



SYNC, SD and ED

- SD, ED are special bit patterns to mark the beginning and the end of a block
 - not allowed inside the frame
- What, if delimiter symbols occur within frame?



- If application of sender must care of avoiding this bit patterns in the data stream
 - transmission is <u>not data-transparent</u>
 - goal is data transparency

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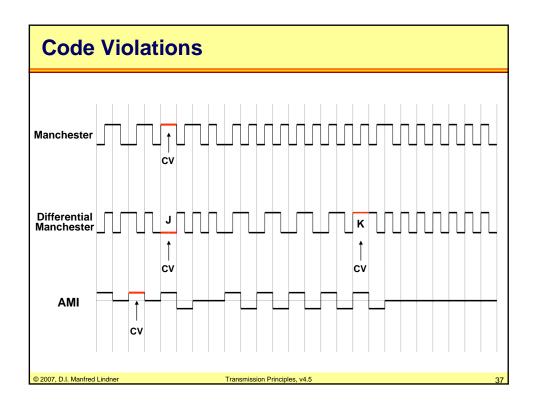
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Data Transparency

- techniques to avoid this bit pattern inside the frame
 - byte stuffing with character based method
 - e.g. IBM BSC (Binary Synchronous Control) protocol
 - e.g. PPP over asynchronous line
 - bit stuffing with bit oriented method
 - e.g. HDLC (High level Data Link Control)
 - e.g. PPP over synchronous line
 - code violations
 - e.g. Token Ring J,K Symbols of Differential-Manchester-code
 - byte count technique
 - e.g. DDCMP (Digital Data Communications Message Protocol)
 - idle line/sync bits before special SD and idle line as ED
 - e.g. Ethernet

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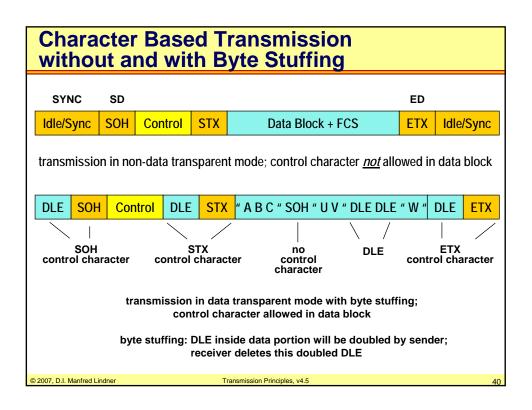
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Character Based Transmission - ASCII-Code												
American Standard Code for Information Interchange												
Bit	7 0 6 0 5 0	0 0 1	0 1 0	0 1 1	1 0 0	1 0 1	1 1 0	1 1 1				
	0 Nul	DLE	SP	0	@	Р	١	р				
	1 SOH	DC1	!	1	A B	Q	a	q				
_ 	0 STX 1 ETX	DC2 DC3	#	3	C	R	b C	r				
	0 EOT	DC3	\$	4	D	T	d	t				
<u> </u>	1 ENQ	NAK	<u>%</u>	5	Ē	Ü	e	u				
	0 ACK	SYN	&	6	F	V	f	V				
0 1 1	1 BEL	ETB	`	7	G	W	g	w				
1 0 0	0 BS	CAN	(8	Н	Х	h	Х				
<u> </u>	1 HT	EM)	9		Υ	i	у				
	0 LF	SUB	*	:	J	Z		Z				
<u> </u>	1 VT	ESC	+	;	K	Į.	k	{				
<u> </u>	0 FF 1 CR	FS GS	, -	<	M	1	m	1				
<u> </u>	0 SO	RS		>	N		n	~				
<u> </u>	1 SI	US	<i>i</i>	?	Ö		0	DEL				
4 3 2 1 Transmission Control Format Control												
Printable Character Information Separator Others								ers				
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Character Based Transmission Byte Stuffing

- the following control characters are used (ASCII, EBCDIC)
 - SOH (Start of Header; ASCII 0x01)
 - STX (Start of Text; ASCII 0x02)
 - ETX (End of Text; ASCII 0x03)
- not allowed inside the data portion
 - printable characters don't contain control characters
- no such restriction with byte stuffing
 - control characters are only recognized as control characters with "DLE" (Data Link Escape; ASCII 0x10) in front of them
 - if "DLE" is to be transmitted as data, it will be doubled

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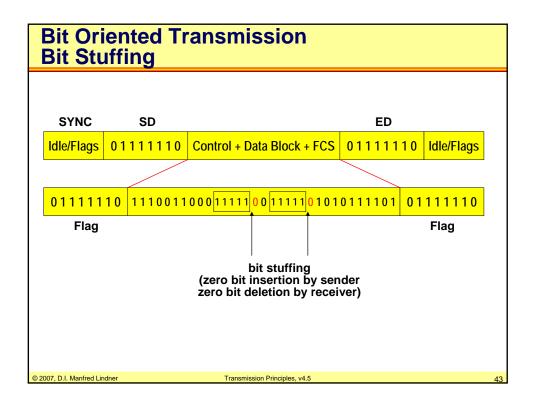
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Bit Oriented Transmission Bit Stuffing

- SD and ED equals 01111110, called flag
 - also used for SYNC
 - any bit pattern different to flag will be interpreted as beginning of the frame
- flag should not occur inside the frame
 - would indicate the end of the frame
- bit stuffing avoids the occurrence of the flag within a frame
 - sender automatically inserts a zero after a sequence of 5 ones
 - receiver automatically deletes inserted zero bits
 - a sequence of 6 ones only occurs at the end of the frame

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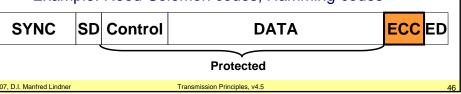
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Error Correction versus Error Detection

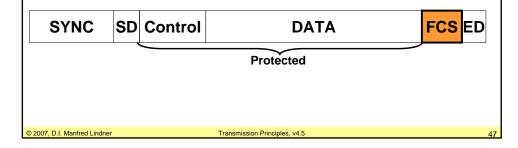
- Two basic strategies developed by network designers
- 1. Forward Error Control
 - Include enough redundant information with each block of date to enable receiver to correct errors occurred -> error correcting codes (important -> "Hamming Distance")
 - Required for "extreme" conditions
 - High BER (Bit Error Rate), EMR
 - · Long delays, space links
 - Example: Reed-Solomon codes, Hamming-codes



Error Correction versus Error Detection

2. Feedback Error Control

- Include enough redundant information with each block of date to enable receiver to detect only errors occurred -> error detecting codes -> Frame Check Sequence
- After error detection a retransmission of frame is initiated through feedback to the sender



Frame Check Sequence (FCS)

- sender generates checksum (FCS) using an agreed rule in order to protect the data block
 - FCS is added at the end of the frame (FCS tmt)
 - frame protection
- receiver calculates its own FCS_rcv and compares it with FCS_tmt
 - error detection
 - FCS_rcv = FCS_tmt ... no error
 - FCS_rcv not equal FCS_tmt ... ERROR
- detection of an error
 - error recovery done by retransmission of frame

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FCS Methods

- many possibilities for creating checksums (FCS)
 - parity bit (even, odd)
 - summarization of all data words modulo 2
 - Cyclic Redundancy Check (CRC) which is based on theory of polynomial code (most complex method)
- complexity of checksum method determines
 - types of errors that can be detected for 100%
 - error probability for undetectable errors for a given frame size
- different FCS methods were standardized
 - depending on physical network type and expected line error patterns

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Theoretical Basis for Data Transmission

- How can a digital signal be represented?
 - Fourier analysis proves that any periodic function g(t) with period T can be constructed by summing a (infinite in case of rectangle pulses) number of sinus and cosines functions

$$g(t) = (1/2)c + \sum_{n=1}^{\infty} a_n \sin(2\pi n f t) + \sum_{n=1}^{\infty} b_n \cos(2\pi n f t)$$

- with f = 1/T and a_n and b_n as amplitudes of the nth harmonics and c as the dc component
- such a decomposition is called Fourier series

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Fourier Coefficients

 How can the values of c, a_n and b_n be computed?

$$c = (2/T) \int_{0}^{T} g(t)dt$$

$$a_n = (2/T) \int_{0}^{T} g(t) \sin(2\pi n f t) dt$$

$$b_n = (2/T) \int_{0}^{T} g(t) \cos(2\pi n f t) dt$$

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Imperfect Real Data Transmission

1

- no transmission systems can transmit signals without losing some power (attenuation)
 - if all harmonics would be equally diminished the signal would be reduced in amplitude but not distorted
 - unfortunately all transmission systems diminish different harmonics by different amounts
 - usually amplitudes from 0 up to certain frequency fc are transmitted undiminished with all frequencies above fc are strongly attenuated
 - fc may be caused by a physical property of the transmission medium
 - fc may be caused by filter function introduced intentionally in the transmission system (Pupin)
 - <u>fc</u> is synonymous for useable bandwidth <u>B</u> of a given transmission system

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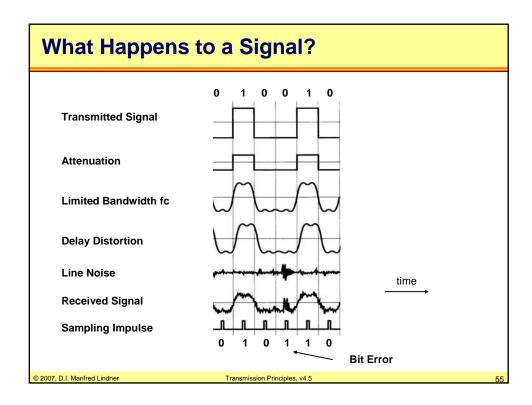
Imperfect Real Data Transmission

2

- no transmission systems can transmit different Fourier components with the same speed (delay distortion)
 - for digital data it may happen that fast components from one bit may catch up and overtake slow components from the bit ahead and hence bits are mixed
 - inter-symbol interference
 - eye-diagram for visualization of delay distortion
- no transmission systems is free from noise
 - noise is unwanted energy from sources other than from the transmitter

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Real Data Transmission

• in real transmission systems

 the original signal will be attenuated, distorted and influenced by noise when traversing the transmission line

by increasing the bit rate

- bit synchronization even in middle of a bit becomes more and more difficult because of these impairments
- above a certain rate bit synchronization will be impossible

relationship

 between bandwidth fc, line length and maximum achievable bit rate on a certain transmission line (system)

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Nyquist's Law

- How many bits can be transported over a ideal (noiseless) transmission channel?
 - Nyquist's law: $R = 2 * B * log_2 V$
 - valid for a noiseless channel
 - R ... maximum bit rate (bits/sec)
 - B ... bandwidth range of a bandwidth limited transmission
 - V ... number of signal levels (e.g. 2 for binary transmission)
 - example analogue telephone line
 - B = 3000 Hz (range 400 3400 Hz)
 - R = 6000 bits/sec for V = 2
 - R = 18000 bits/sec for V = 8

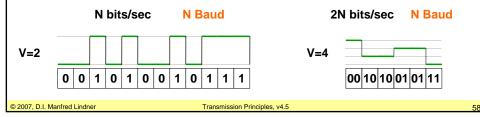
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Bit and Baud

- the rate of changes of a signal
 - is called signaling rate R_s and is measured in Baud
- the rate of bits transported
 - is called bit rate R and is measured in bits/sec (bps)
 - $-R = R_s * log_2 V$
 - V ... number of signal levels
- R = R_s
 - for binary transmission where V = 2



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Shannon's Law

- How many bits can be transported over a noisy transmission channel?
 - disturbance caused by crosstalk, impulse noise, thermal or white noise
 - Shannon's law: $\max R = B * \log_2 (1+S/N)$
 - S ... signal power, N ... noise power
 - SNR ... Signal to Noise Ratio measured in decibel (db)
 - SNR = $10 * \log_{10} S/N$
 - example analogue telephone line
 - B = 3000 Hz
 - SNR = 30 db means 30 = 10 * log_{10} (S/N) -> S/N = 1000
 - max R = approximately 30000 bits/sec

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Baseband Mode

- all the available bandwidth of the serial line is used to derive a single transmission path
- signals travel as rectangle pulses
- physical property of transmission medium, power of sender, sensitivity of receiver and S/N ratio are the limiting factors for the achievable bit rate
- appropriate encoding
 - to ensure bit synchronization
 - to avoid dc component
 - to keep electromagnetic radiation low

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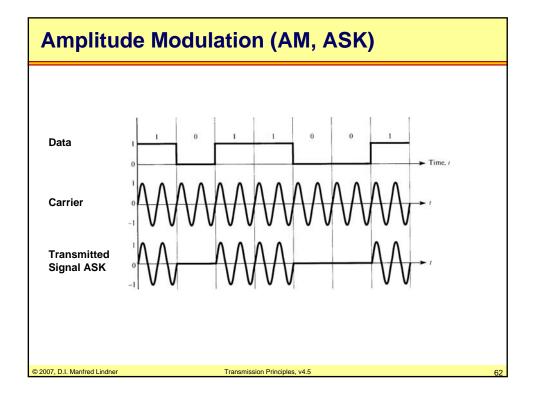
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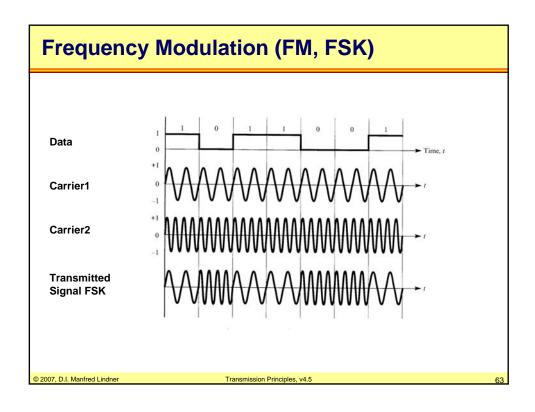
Narrowband Mode

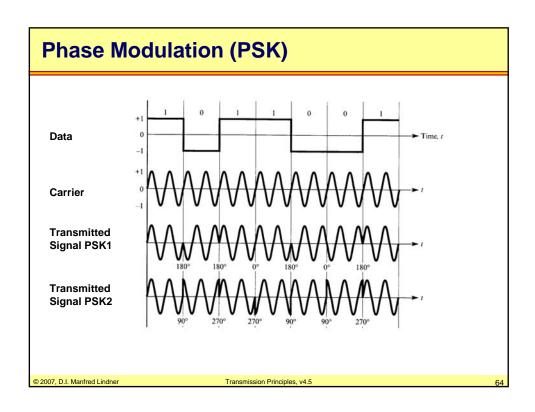
- bandwidth is intentionally limited and hence binary signals (rectangle pulses) must be adapted before using the line
- adaptation is done by modulation
 - e.g. Modem for transport of data over telephone network
- several techniques were developed
 - amplitude modulation (amplitude-shift-keying ASK)
 - frequency modulation (frequency-shift-keying FSK)
 - phase modulation (phase-shift-keying PSK)
 - combination of above like QAM (Quadrature Amplitude Modulation) used in modern high speed modems today
 - 12 phase shifts and two amplitudes are used to represent 16 valid combinations -> 4 bits are transported in a single step
 - used e.g. by V.32 with 9600 bit/sec over 2400 baud line

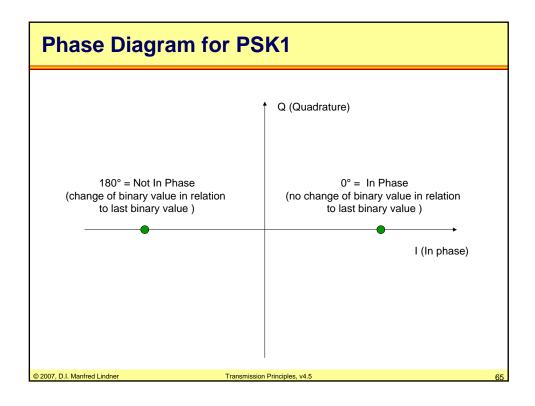
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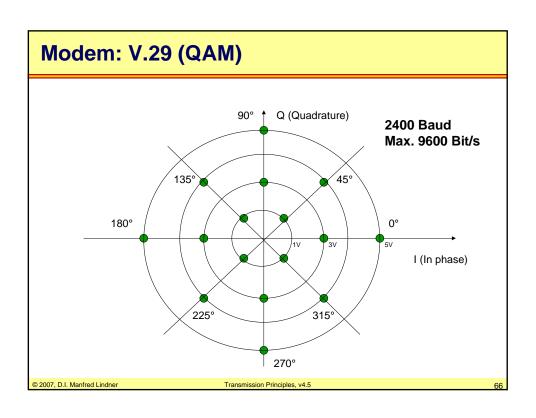
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Modem

Modulator / Demodulator

- modem adapts digital (rectangle) signals in order to be transported over analogous telephone network
 - limited bandwidth (200 3500 Hz)
- done by different modulation techniques
 - AM, FM, Phase-Modulation, QAM, Trellis-Code, etc.
- 1st Wave
 - Frequency Division Protocols, all rates to 2400 bits/s
 - Modems: advanced analog filters
 - Telco: pass audio frequencies of 200 Hz to 2.4 KHz
- 2nd Wave
 - 1st generation Echo Canceling Protocols, 9600 & 14400 bits/s
 - Modems: low cost DSPs
 - Telco: pass audio frequencies of 200 Hz to 2.4 KHz

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Modem (cont.)

- 3rd Wave
 - 2nd gen. Echo Canceling Protocols, rates to 28.8 Kbits/s
 - Modems: higher performing, low cost DSPs
 - Telco: pass audio frequencies of 200 Hz to 2.8 KHz
- 4th Wave
 - extending Echo Canceling Protocols, rates to 33.6 Kbits/s
 - Modems: higher performing, low cost DSPs
 - Telco: pass audio frequencies of 200 Hz to 3.1 KH
- 5th Wave
 - Digital stepping protocols, 34 Kbits/s to 56 Kbits/s
 - Modems: higher performing, low cost DSPs
 - Telco: pass audio frequencies of 200 Hz to 3.1 KHz, all digital path to subscriber line,
 64K PCM digital to analog conversion, limited loop length, no line conditioners

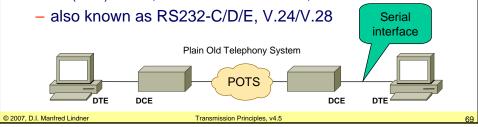
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Modem Control by EIA-232 / V.24

EIA-232 / V.24 standard

- serial interface definition between a DCE and DTE
 - DTE (Data Terminal Equipment e.g. end system)
 - DCE (<u>Data Circuit Terminating Equipment e.g. modem</u>)
- for short distance and low speed connectivity
- specifies a set of physical lines and necessary electrical / mechanical aspects
 - data signals for serial transmission, control signals for modem (DCE) control, unbalanced transmission, connector



EIA-232 Data and Control Signals

- data signals:
 - transport of serial data bit-stream
 - TxD (Transmit Data) DTE -> DCD
 - RxD (Receive Data) DCE -> DTE
- control signals:
 - control function between modem and end system
 - RTS (Request To Send) DTE -> DCE
 - DTE requests permission to send data to modem
 - CTS (Clear To Send) DCE-> DTE
 - DCE grants permission to send
 - DCD (Data Carrier Detect) DCE -> DTE
 - DCE indicates that it is receiving carrier from remote modem
 - DSR (Data Set Ready) DCE -> DTE
 - DCE indicates that it is operational (the modem is powered on)

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EIA-232 Control Signals (cont.)

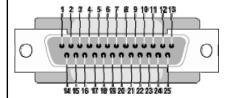
- control signals (cont.)
 - DTR (Data Terminal Ready) DTE -> DCE
 - DTE indicates that it is operational (the end system is powered on)
 - RI (Ring Indicator) DCE -> DTE
 - DCE indicates that the phone is ringing
 - Transmitter Signal Element Timing DCE -> DTE
 - used in synchronous mode to provide clock to the DTE for TxD
 - Receiver Signal Element Timing DCE -> DTE
 - used in synchronous mode to provide clock to the DTE for RxD
 - Transmitter Signal Element Timing Return DTE -> DCE
- EIA-232 specified limits:
 - Length: 15m, 30m
 - Speed: 20kbit/sec, 64kbit/sec / Practice: up to 200kbit/sec

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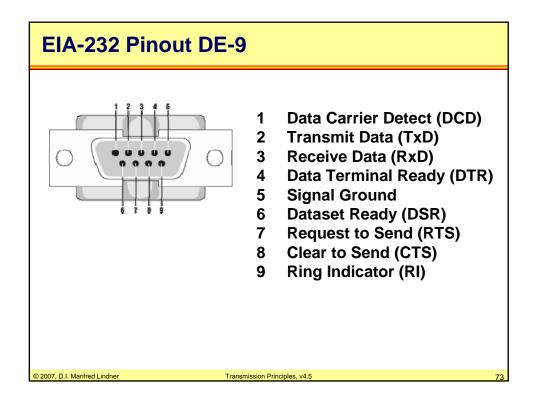
EIA-232 Pinout DB-25

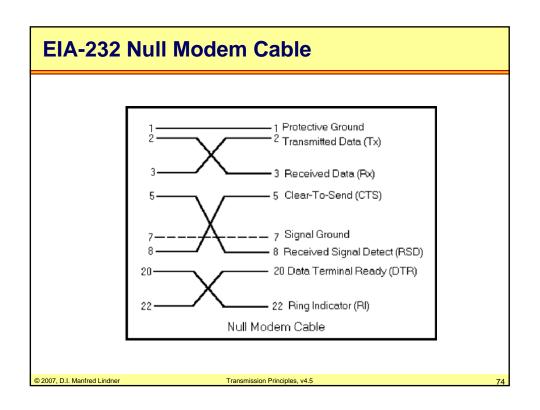


- 2 Transmit Data (TxD)
- 3 Receive Data (RxD)
- 4 Request to Send (RTS)
- 5 Clear to Send (CTS)
- 6 Dataset Ready (DSR)
- 7 Signal Ground
- 8 Data Carrier Detect (DCD)
- 15 Transmit Clock
- 17 Receive Clock
- 20 Data Terminal Ready (DTR)
- 24 Auxiliary Clock

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Broadband Mode

- the available bandwidth of the serial line is divided to derive a number of lower bandwidth transmission paths on one serial line
- in analogue systems every path is modulated by a unique carrier
 - a certain base-frequency which together with the necessary bandwidth range for that channel occupies a certain frequency band of the given transmission system
 - cable television as example
- in digital systems broadband means sometimes high speed only
 - e.g. B-ISDN = ATM
 - but no modulation is used to achieve these

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